



Acceptance of COVID-19 vaccination among healthcare personnel

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ABSTRACT

Introduction: One-third of the general public will not accept COVID-19 vaccination but factors influencing vaccine acceptance among healthcare personnel (HCP) are not known. We investigated barriers and facilitators to vaccine acceptance within 3 months of regulatory approval (primary outcome) among adult employees and students at a tertiary-care, academic medical center.

Methods: We used a cross-sectional survey design with multivariable logistic regression. Covariates included age, gender, educational attainment, self-reported health status, concern about COVID-19, direct patient interaction, and prior influenza immunization.

Results: Of 18250 eligible persons, 3347 participated. Two in five (40.5%) HCP intend to delay (N=1020; 30.6%) or forgo (N=331; 9.9%) vaccination. Male gender (adjusted OR [aOR] 2.43; 95% CI 2.00-2.95; $p < 0.001$), prior influenza vaccination (aOR 2.35; 95% CI 1.75-3.18; $p < 0.001$), increased concern about COVID-19 (aOR 2.40; 95% CI 2.07-2.79; $p < 0.001$) and postgraduate education (aOR 1.41; 95% CI 1.21-1.65; $p < 0.001$) – but not age, direct patient interaction, or self-reported overall health – were associated with vaccine acceptance in multivariable analysis. Barriers to vaccination included concerns about long-term side effects (N=1197, 57.1%), safety (N=1152, 55.0%), efficacy (N=777, 37.1%), risk-to-benefit ratio (N=650, 31.0%) and cost (N=255, 12.2%).

Subgroup analysis of Black respondents indicates greater hesitancy to accept vaccination (only 24.8% within 3 months; aOR 0.13; 95% CI 0.08-0.21; $p < 0.001$).

Conclusions: Many HCP intend to delay or refuse COVID-19 vaccination. Policymakers should impartially address concerns about safety, efficacy, side effects, risk-to-benefit ratio and cost. Further research with minority subgroups is urgently needed.



INTRODUCTION

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), the etiologic agent of coronavirus disease 2019 (COVID-19), is a respiratory virus that has killed millions worldwide.¹ Many COVID-19 vaccines are under development and rely on widely divergent scientific approaches.^{2,3} Through “Operation Warp Speed,” the United States government has targeted delivering 300 million doses of a safe and effective vaccine by January 2021.^{3,4} The Food and Drug Administration (FDA) has now issued emergency use authorization (EUA) for two mRNA-based vaccines developed by Pfizer/BioNTech (BNT162b2) and Moderna (MRNA-1273).⁵⁻⁸ These vaccines are the fastest ever developed.² Healthcare personnel (HCP) are at increased risk of COVID-19 infection⁹. Health systems – in analogy to seasonal influenza campaigns – have begun efforts to promote workforce COVID-19 vaccine uptake. Recent analyses show that vaccine acceptance may be limited among the general public: between 10-35% of the individuals indicate that they will not accept a COVID-19 vaccine.¹⁰⁻¹² COVID-19 vaccine acceptance among the general population has been associated with age, gender, race, marital status, educational attainment, political ideology, trust in media/health agencies/scientists, fear of COVID-19, perceived severity of the COVID-19 pandemic, level of vaccine efficacy, duration of vaccine protection, frequency of reported adverse effects, attitudes towards vaccination in general and prior influenza vaccination.¹¹⁻¹⁷ An analysis using a behavioral economics approach also showed that rapid vaccine development suppressed vaccine uptake at the same level of effectiveness.¹³ FDA emergency use authorization, as compared with full FDA approval, was also associated with decreased vaccine acceptance.¹⁶ Moreover, healthcare worker acceptance of influenza vaccination during an influenza pandemic – the most analogous vaccine preventable disease for which there is significant data – indicates that vaccination uptake is greater in males, physicians, nurses, full-time employees, and persons who perceive greater disease risk.¹⁸ Mandated vaccination by healthcare institutions was also markedly associated with influenza vaccination uptake (from 45% to 90%).¹⁹

Data were lacking, however, on attitudes towards COVID-19 vaccination among HCP. Some new data has become available while this article was under review. A survey of the French public found that HCP were more likely to accept vaccination (multivariable odds ratio [OR] 1.53).²⁰ A French survey specifically targeting 2047 HCP showed 76.9% would accept vaccination and that age, gender, fear of COVID-19, perceived individual risk and prior influenza vaccination promoted vaccine uptake.²¹ Acceptance of COVID-19 vaccination, however, among HCP varies significantly by geographic location.²² For example, in a survey of 613 HCP in the Democratic Republic of Congo, only 27.7% would accept COVID-19 vaccination, and vaccination was promoted by male sex and physician (vs. non-physician) job role.²³ An online survey of 1205 nurses in Hong Kong indicated that 63% intended to accept COVID-19 vaccination; interestingly, this exceeded the rate of influenza vaccine uptake (49%).²⁴ In a separate study by the same research group, vaccine acceptance among Hong Kong nurses was positively associated with private sector employment, chronic medical problems, encounters with known or suspected COVID-19 patients, and prior influenza vaccination.²⁵ Here, we evaluated HCP willingness to become vaccinated against COVID-19 and identified barriers/facilitators to vaccine uptake among all personnel at a large academic medical center in the Midwest United States.

METHODS

Study population and survey implementation

Anonymous, electronic surveys in English were e-mailed to approximately 18250 employees, faculty, and students of the University of Kansas Medical Center (comprising the ambulatory clinics and >750 bed hospital of the University of Kansas Health System; and the Schools of Medicine, Nursing and Health Professions) between August 14, 2020 and August 28, 2020. Individuals under the age of 18 years were excluded. Participants in the health system were sent e-mails through the health system's Corporate Communication division using internal e-mail lists. Participants in the university were e-mailed through broadcast e-mails to the Schools of Medicine, Nursing and Health Professions. Participants completed the survey electronically through the REDCap survey platform.^{26,27} Two invitations were sent: an initial invitation and a reminder one week later.

All medical center personnel were included in our definition of “healthcare personnel” and invited to participate. Potential respondents therefore comprised a wide range of clinical and non-clinical roles: *e.g.* physicians, advanced practice providers, nurses, respiratory therapists, front-desk staff, dietary staff, administrative staff, University research staff, *etc.*

Our pre-specified hypotheses were that (1) most healthcare personnel would accept vaccination, (2) safety concerns would be the predominant barrier to vaccination uptake among those delaying vaccination, (3) job responsibilities involving direct patient interaction would facilitate vaccine acceptance, and (4) that educational attainment would be associated with vaccine acceptance. Survey content was developed by the principle investigator and research team, based on review of the literature of similar published studies¹¹⁻¹⁷, to interrogate these hypothesis and control for relevant covariables. A draft of the surveys was discussed with stakeholders within the health system, University and local county health department for comment. After incorporating feedback, these same stakeholders piloted the survey prior to wide distribution of the survey.

Measures

Surveys queried participant intention to become vaccinated against COVID-19 following regulatory approval by the United States Food and Drug Administration (within the first month of approval, 1-3 months after approval, 4-6 months after approval, 7-12 months after approval, more than 12 months after approval, or never). Early acceptance of COVID-19 vaccination (Primary Outcome) was defined as intention to receive vaccination within 3 months of regulatory approval. Participants were considered to delay – but not forgo – vaccination if they planned to obtain vaccination at least 3 months after approval. Barriers to vaccination were assessed among participants planning to delay (>3 months) or forgo vaccination. Self-reported health status, level of concern about COVID-19, prior diagnosis of COVID-19, prior positive antibody testing, participation in ongoing COVID-19 vaccine trials, job responsibility involving direct patient interaction and in caring for patients with known COVID-19, clinical role, influenza immunization in the 2019-2020 season and demographic parameters (age, gender, race, ethnicity, and educational attainment) were also collected. To assess for response bias,

aggregate demographics of the entire eligible population were estimated from employee and student records obtained from the Health System Human Resources department and University Office of Enterprise Analytics (**Appendix Table 1**).

Age data were collected on the survey in categories (*i.e.* 18-24 years, 24-29 years, 30-34 years, etc.). In the regression model, age was centered to the median of the respective category (*e.g.* 30-34 years was modeled as 32 years). History of influenza vaccination and job responsibilities involving direct patient interaction were dichotomous and used directly without any transformation. Gender was already nearly dichotomous (man/woman); 7 respondents (0.2%) reported “other” gender, which was treated as missing data in the regression due its extreme infrequency. Concern about COVID-19 infection was collected on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all) and was dichotomized between extreme/moderately and somewhat/slight/not at all. Self-reported health was also collected on a 5-point Likert scale (excellent, very good, good, fair, poor), following Ware *et al.*,²⁸ and was dichotomized between very good or better and good or worse. Finally, educational attainment was collected on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master’s degree and Doctoral/Professional degree) and was dichotomized between college graduate or less and Master’s degree or greater.

Dichotomization was undertaken to minimize the number of free model parameters and because non-linear effects were not expected within level of concern about COVID-19, self-reported health status and educational attainment. For example, we hypothesized, dichotomously, that persons with greater concern would be more likely to accept vaccination than a person with less concern. We did not expect, *a priori*, a non-linear effect such as persons who were “extremely” and “slightly” concerned might both have high acceptance, but persons “moderately” concerned to have low acceptance).

Statistical analysis

Bivariable and multivariable logistic regression modeling was carried out using R version 3.6.1. Vaccine acceptance was modeled as a function of (1) age, (2) gender, (3) history of influenza vaccination in the 2019-

2020 season, (4) job responsibility involving direct patient interaction, (5) concern about COVID-19 infection, (6) self-reported health and (7) educational attainment. These independent variables were chosen because of their association with vaccine acceptance in prior analyses (gender, influenza vaccination, concern about COVID-19, educational attainment),¹¹⁻¹⁸ or because an effect was plausibly expected among healthcare personnel as determined by our multidisciplinary research team (e.g. we hypothesized that persons involved in direct patient interaction would be more likely to accept vaccination due to their greater risk of potential occupational exposure to COVID-19 infected persons). Odds ratios, 95% confidence intervals and two-sided p-values were calculated for each variable.

Of the 3347 surveys completed, 3292 (98.4%) had complete data records with respect to the eight regression variables. The 55 records (1.6%) that were missing data elements were excluded from the regression analysis. Demographic characteristics of records with missing data elements are shown in **Appendix Table 2**.

Multicollinearity among predictor variables was excluded through calculation of the variance inflation factor (VIF) for each variable. The maximal VIF was 1.11, indicating that multicollinearity was not significantly influencing the model fit. Sensitivity analyses to control for the impact of (1) selecting different dichotomization thresholds, (2) discretizing age, or (3) including race in the regression model were also conducted. Post-hoc exploratory subgroup analyses were performed for Black, Hispanic and Asian respondents, who responded less frequently than expected based on their estimated prevalence among the eligible population.

Human subjects projection

The University of Kansas Medical Center Institutional Review Board approved this study. Informed consent was obtained through explanatory text at the beginning of the survey that indicated its purpose, time commitment (<5 minutes), anonymity, voluntary nature, and absence of significant personal benefits or risks. Participants were advised that participation or nonparticipation would not impact academic or employee performance evaluations. All participants were gainfully employed or engaged in higher educational activities at

the Medical Center. Their decisional capacity to consent was presumed due to their cognitive capacity to carry out these activities.

RESULTS

Approximately 18250 survey invitations were sent and 3347 individuals participated (18.3% response rate). Demographic features of respondents are summarized in **Table 1**. [Insert Table 1] Demographics of respondents are compared to the entire eligible population in **Appendix Table 1**. Most participants (N=2453, 74.4%) had a job role involving clinical responsibilities, which were widely distributed between numerous clinical roles (**Table 1**). About half (N=1823, 54.5%) had roles involving direct patient interactions, of which 807 persons (44.5% of those with direct patient interactions) had interacted with a patient known to have COVID-19 (Data not shown in Table). Very few respondents had prior diagnosis of COVID-19 (N=54, 1.6%) or positive antibody test (N=13, 0.4%). A small fraction of respondents (N=38, 1.1%) were already participating in a vaccine trial. Most participants (N=3123, 93.5%) were immunized against influenza in the 2019-2020 season (Data not shown in table).

Fully 40.5% (N=1351) of respondents intended to delay (N=1020; 30.6%) or forgo (N=331; 9.9%) vaccination (**Table 2**). [Insert Table 2] 11.4% (N=379) of respondents intend vaccination during months 4-6, 6.9% (N=231) during months 7-12, and 12.3% (N=410) after 1 year or more. Only 59.5% (N=1985) intend to become immunized within 3 months of regulatory approval – 37.2% (N=1241) within the first month and 22.3% (N=744) during months 1-3.

Table 3 describes factors associated with intention to receive vaccination within 3 months of approval. [Insert Table 3] Intention to receive early COVID-19 vaccination was more likely in men (adjusted OR [aOR] 2.43; 95% CI 2.00-2.95; $p < 0.001$), individuals vaccinated against influenza in the 2019-2020 season (aOR 2.35; 95% CI 1.75-3.18; $p < 0.001$), persons with extreme or moderate concern about COVID-19 (aOR 2.40; 95% CI 2.07-2.79; $p < 0.001$) and individuals with postgraduate education (aOR 1.41; 95% CI 1.21-1.65; $p < 0.001$). Interestingly, we did not detect an association between early COVID-19 vaccine acceptance and (1) age, (2)

having a job role involving direct patient interaction, or (3) lower self-reported overall health in either bivariable or multivariable analyses.

We considered that these associations might be detected (or fail to be detected) due to the choices we made for the dichotomization thresholds. To control for this, we performed bivariable and multivariable sensitivity analyses using all possible combinations of alternative dichotomization thresholds: (1) for viral concern, between somewhat or greater and slightly or less; (2) for self-reported health status, between good or greater and fair or worse; (3) for educational attainment, between some college or less and college graduate or more; and (4) for intention to become vaccinated, between within 1 month and 1 month or greater. The results of these sensitivity analyses did not substantively alter our conclusions (see “Sensitivity Analyses” in **Appendix** and **Appendix Tables 3-9**).

Factors influencing a decision to delay or forgo vaccination (barriers) were also assessed. Among participants not planning for immediate vaccination, the most common barriers included concerns about (1) long-term side effects (N=1197, 57.1%), (2) safety (N=1152, 55.0%), (3) efficacy (N=777, 37.1%), (4) risk-to-benefit ratio (N=650, 31.0%) and (5) cost (N=255, 12.2%). The frequencies of additional barriers are shown in **Table 2**. “Other” barriers were also allowed to be reported as free-text responses. Further barriers identified by this method included, *inter alia*, concerns about pregnancy, teratogenicity, fertility and breastfeeding; mistrust of government; and desire to allow high-risk individuals access to the vaccine first.

Additionally, we evaluated for non-response bias. We applied three common methods to estimate this bias.²⁹ First, continuum of resistance theory proposes that survey respondents lie along a continuum from early respondents to late respondents to non-respondents²⁹. Comparisons between early and late respondents (“wave” analysis) may therefore provide some insight into non-response bias²⁹. We produced a diagnostic plot using a 500-respondent rolling window for early (<3 months), late (>3 months) and never vaccination acceptance (**Appendix Figure 1**). Vaccine acceptance patterns remain essentially stable as a function of respondent order, which is consistent with – but does not definitively establish – the absence of strong non-

response bias. Second, we performed sensitivity analyses including the survey completion timestamp as a predictor variable (**Appendix Tables 10-11**). Survey timestamp is non-significant in all models and does not robustly alter the conclusions of the prior multivariable analysis. Third, comparison of the demographic profile of survey respondents to estimates of the eligible population (**Appendix Table 1**) suggests that survey responses broadly reflect a meaningful, representative cross-section of the relevant population with one clear exception: Black-identifying individuals were under-sampled (3.1%) due to lower response rate in this subgroup, relative to their estimated population prevalence (12.9%).

Post-hoc exploratory subgroup analysis of Black respondents (N=126 including persons who specify more than one race; **Table 4**) revealed that only 31 Black respondents (24.8%) intend to become vaccinated within the first three months, far less than the rate among all respondents (59.5%; proportional Z-test $p < 0.001$). [Insert Table 4] Moreover, post-hoc sensitivity analyses, including a regression term for four-level race (White, N=2873; Black or African American, N=103; Asian, N=124; or Other/Multiple, N=173; **Appendix Table 12-14**) reveal a robust association between Black racial identity and decreased vaccination acceptance at both 1 month (aOR 0.20; 95% CI 0.10-0.35; $p < 0.001$) and 3 months (aOR 0.13; 95% CI 0.08-0.21; $p < 0.001$). Significant associations between male gender, prior influenza vaccination, greater concern about COVID-19, and higher education were preserved in the race-inclusive model. Barriers to vaccination among Black individuals nevertheless largely mirror the pattern seen in the full sample, viz.: long term-side effects (N=69, 63.9%), safety (N=64, 59.3%), risk-to-benefit ratio (N=40, 37.0%) and efficacy (N=38, 35.2%). Similar post-hoc exploratory subgroup analyses for Hispanic and Asian respondents are presented in **Table 4**.

DISCUSSION

Many COVID-19 vaccines are being concurrently developed to address the ongoing COVID-19 pandemic, but evidence suggests enthusiasm for COVID-19 vaccination among the general public may be limited.¹⁰⁻¹² Data prior to this study are lacking for HCP.

The attitude and behavior of HCP towards COVID-19 vaccination is specifically important because (1) HCP are at increased risk of COVID-19,⁹ (2) infected HCP risk transmitting COVID-19 to patients and their family members, and (3) HCP potentially serve as role models of appropriate preventive health behavior by the general public. Widespread HCP refusal of COVID-19 immunization may signal danger to the general public. This could imperil the overall efficacy of the mass vaccination efforts that will be necessary to confer herd immunity among the public. Barriers to vaccine uptake among HCP must therefore be addressed vigorously. We found that fully 40.5% of personnel at a large tertiary care medical center will delay (30.6%) or forgo (9.9%) vaccination upon regulatory approval; only 59.5% will accept vaccination within 3 months. The intended non-vaccination rate for COVID-19 (9.9%) is similar to that reported for influenza in the 2019-2020 season (6.5%). The main factors limiting vaccination uptake are concerns about long-term side effects, safety, efficacy, risk-to-benefit ratio, and cost. Those planning to delay, but not forgo, vaccination thus appear to require high-quality, post-approval surveillance data to inform their decision to proceed with immunization.

Multivariable analyses indicate vaccine acceptance is greatest among persons with (1) male gender, (2) previous influenza vaccination, (3) high concern about COVID-19 and (4) high educational attainment. Black respondents were much less likely than all respondents to report intention to become vaccinated within 3 months and over one-quarter (28.8%) intend to forgo vaccination completely. This may reflect mistrust of medical personnel in general,³⁰⁻³² but may also be exacerbated by under-representation of minorities in COVID-19 related clinical trials.³³

Our data are concordant with prior analyses of the general public and HCPs which showed associations between vaccine acceptance and male sex,^{11,13,20,21,23} prior influenza vaccination,^{13,21,23,34,35} concern about COVID-19,^{15,20,21,23,34} and educational attainment^{11,35,36}. The factors driving the association between male sex and greater COVID-19 vaccine acceptance are unclear; however, we note that males have significantly high risk of COVID-19-related intensive care unit admission and death than females.³⁷ Contrary to our expectations – and at variance with a recent study of Hong Kong nurses²⁵ – we did not find evidence that job role involving

direct patient interaction increases vaccination acceptance. Additionally, that same study²⁵ showed that HCP with chronic medical problems were more likely to accept vaccination, but we did not find an association between vaccine acceptance and poor self-reported health.

Major concerns centered on vaccine safety, efficacy and long-term side effects. Regulatory authorities must assure HCP (and the general public) that forthcoming vaccines are safe and effective by considering high-quality evidence through a rigorous and impartial process. The FDA has now granted emergency use authorization to two mRNA vaccines after thorough review of Phase 3 trial results and manufacturing quality data.⁵⁻⁸ Local and national institutions should develop evidence-based educational materials that address these barriers, to allow their workforce to make informed vaccination decisions.

Cost was the fifth most common reported barrier. We did not collect information on financial wellbeing due to concern that it would negatively influence the response rate. However, low-income individuals are the most likely to be cost sensitive. The United States Centers for Disease Control and Prevention have committed that “vaccine doses purchased with U.S. taxpayer dollars will be given to the American people at no cost.”³⁸ Health systems should promulgate messages to their workforce that vaccination will be provided free-of-charge. If public funding of vaccination ceases in the future, health systems should adopt policies that address vaccination costs equitably to avoid exacerbating underlying workforce health disparities.

This study has limitations. First, data were collected at a single center. These results likely generalize to other academic medical centers or large community hospitals that are socially and demographically similar but may not generalize well to rural areas or small community hospitals. Second, we used a written electronic survey rather than an in-person interviewer. Participants were required to use their best judgement in interpreting the meaning of questions and their responses, without the ability to easily clarify a misinterpretation. Third, the survey is observational and non-randomized which allows for the possibility of non-response bias.

Respondents with stronger views on COVID-19 vaccination may have been more likely to respond to the survey. Our response rate was 18.3%. High response rates are thought to reduce the likelihood of non-

response bias in survey studies²⁹. Large unsolicited surveys (e.g. those conducted by Pew Research³⁹) commonly have lower response rates (5-15%). Lower response rates are not intrinsically bad, except insofar as they increase the risk of non-response bias. We failed to find evidence of non-response bias in vaccine acceptance (**Appendix Figure 1**) or the multivariable analysis (**Appendix Tables 10-11**). There is evidence, however, of non-respondent bias among eligible Black participants. Black participants reported similar barriers to vaccination but were less likely to accept vaccination. Low response rate of Black participants thus likely results in an underestimate of the total proportion of HCP who intend to delay or forgo vaccination. Further research on minority attitudes towards COVID-19 vaccination – using community-based participatory methods that include Black HCP and other health system personnel in the study design – is urgently needed. Fourth, we report an overview of perceived barriers to vaccination, without evaluating cognitive factors that influence participant’s assessments of risks and benefits. Further analyses that specifically interrogate participant’s risk-benefit analysis should be conducted. Such analyses should specifically consider cognitive risk assessment and decision-making theories that have been previously used to predict vaccination behavior,⁴⁰ such as the health belief model,⁴¹ the theory of planned behavior,⁴² or prospect theory⁴³. Fifth, our survey included 11 possible barriers to vaccination, but these are certainly not an exhaustive list of all possible barriers. For example, participants might consider the risk level of their family members and close contacts, the timing of vaccination for their family, and specific comorbidities in their decision-making process. Vaccine- or trial-specific factors may also affect decision making about specific vaccines: whether or not a vaccine results in viral shedding, how the vaccine trials were conducted and the length of follow-up in vaccine trials. Analyses with a different design (e.g. focus groups) would be helpful to more fully define the range of barriers to vaccination. Sixth, at the time the survey was conducted, it was unclear which (if any) vaccines would receive approval in the near future. We therefore did not include any information about the specific properties of a vaccine or the trial designs. Educational material about some specific trial designs may nevertheless have utility; for example, information about the length of follow-up during the trial may help address concerns about the likelihood of long-term side effects of a given vaccine.

In summary, many (40.5%) HCP intend to delay or refuse COVID-19 vaccination. Policymakers at the local, health system and national levels, should be prepared to address concerns about vaccine safety, efficacy and cost through communication of rigorously and impartially evaluated high quality scientific evidence. Additional work to further investigate beliefs and attitudes – and to address barriers – among racial and ethnic minorities will be essential to promote vaccine uptake and public health during the COVID-19 pandemic.

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Table 1. Respondent demographics.

Demographic	No. (%)*
Age, years	
18-24	437 (13.1)
25-34	974 (29.1)
35-44	709 (21.2)
45-54	565 (16.9)
55-64	520 (15.5)
65 years or greater	142 (4.2)
Gender	
Man	726 (21.8)
Woman	2601 (78.0)
Other	7 (0.2)
No response	13
Race	
American Indian or Alaska Native	6 (0.2)
Asian	125 (3.8)
Black or African American	104 (3.1)
White	2912 (87.6)
Native Hawaiian or Other Pacific Islander	4 (0.1)
Other	79 (2.4)
Multiple	95 (2.9)
No response	22
Hispanic, Latino or Spanish Origin	
Absent	3170 (95.4)
Present	152 (4.6)
No response	25
Educational attainment	
Some high school or less	3 (0.1)
High school graduate	59 (1.8)
Some college	398 (11.9)
College graduate	1467 (43.9)
Master's degree	696 (20.8)
Doctorate or professional degree	716 (21.4)
No response	8
Clinical role	
No clinical responsibilities	844 (25.6)
Attending physician	247 (7.5)
Fellow or resident physician	79 (2.4)
Advanced practice provider (APRN, PA)	118 (3.6)
Licensed nurse (RN, LPN)	491 (14.9)
Clinical pharmacist or pharmacy staff	81 (2.5)
Physical, occupational or speech therapy	117 (3.5)
Unlicensed care aid	48 (1.5)
Case management or social work	32 (1.0)
Technician (radiology, EKG, lab draw, etc.)	76 (2.3)
Clinical laboratory or clinical pathology	52 (1.6)



<i>Administrative or support staff</i>	300 (9.1)
<i>Medical student</i>	227 (6.9)
<i>Nursing student</i>	82 (2.5)
<i>School of health professions student</i>	153 (4.6)
<i>Other student</i>	34 (1.0)
<i>Other</i>	316 (9.6)
<i>No response</i>	50

* Percentages refer to all respondents (excluding “no response”) for each item.



Table 2. Intentions, barriers and facilitators associated with SARS-CoV-2 vaccination.

Variable	No (%)[*]
If a vaccine for novel coronavirus (COVID-19) receives Food and Drug Administration (FDA) approval, when do you intend to become vaccinated?	
<i>Within the first month of approval</i>	1241 (37.2)
<i>1-3 months after approval</i>	744 (22.3)
<i>4-6 months after approval</i>	379 (11.4)
<i>7-12 months after approval</i>	231 (6.9)
<i>More than 1 year (12 months) after approval</i>	410 (12.3)
<i>I do not intend to be vaccinated</i>	331 (9.9)
<i>No response</i>	11
Which of the following concerns influence your decision to delay vaccination or to not receive vaccination? [†]	
<i>Long-term side effects of the vaccine</i>	1197 (57.1)
<i>The vaccine will not be safe</i>	1152 (55.0)
<i>The vaccine will not be effective</i>	777 (37.1)
<i>Risk of vaccination is more than the benefit</i>	650 (31.0)
<i>The vaccine will be too expensive</i>	255 (12.2)
<i>I may be allergic to the vaccine</i>	234 (11.2)
<i>Short-term side effects (painful injection, muscle pain, feeling unwell the day of the injection, etc.)</i>	159 (7.6)
<i>Personal religious, moral or ethical reasons</i>	154 (7.4)
<i>The vaccine will give me novel coronavirus (COVID-19)</i>	100 (4.8)
<i>I will not have time to get the vaccine</i>	79 (3.8)
<i>Already been infected with novel coronavirus (COVID-19)</i>	48 (2.3)
<i>Other</i>	303 (14.5)
<i>No response</i>	24
How concerned are you about becoming infected with novel coronavirus (COVID-19)?	
<i>Extremely concerned</i>	532 (15.9)
<i>Moderately concerned</i>	1195 (35.7)
<i>Somewhat concerned</i>	835 (25.0)
<i>Slightly concerned</i>	554 (16.6)
<i>Not at all concerned</i>	228 (6.8)
<i>No response</i>	3
In general, would you say your health is:	
<i>Excellent</i>	948 (28.4)
<i>Very good</i>	1651 (49.4)
<i>Good</i>	641 (19.2)
<i>Fair</i>	95 (2.8)
<i>Poor</i>	6 (0.2)
<i>No response</i>	6

^{*} Percentages refer to all respondents (excluding “no response”) for each item.

[†] Queried of all persons not reporting intention to become vaccinated within the first month. Percentages may not sum to 100% because respondents may report multiple concerns.

Table 3. Bivariable and multivariable logistical regression models for intention to receive COVID-19 within 3 months of regulatory approval.

Variable	Bivariable (crude)		Multivariable (adjusted)		
	cOR [*] (95% CI [†])	p-value	aOR [‡] (95% CI [†])	p-value	Sig [§]
Age, decades	1.02 (0.97-1.07)	0.548	0.95 (0.90-1.00)	0.054	
Men	2.39 (1.99-2.89)	<0.001	2.43 (2.00-2.95)	<0.001	***
Influenza vaccine^{¶¶}	2.37 (1.79-3.15)	<0.001	2.35 (1.75-3.18)	<0.001	***
Viral concern[#]	2.30 (1.99-2.65)	<0.001	2.40 (2.07-2.79)	<0.001	***
Patient interaction ^{**}	0.97 (0.85-1.12)	0.704	0.92 (0.79-1.07)	0.282	
Higher education^{††}	1.62 (1.40-1.87)	<0.001	1.41 (1.21-1.65)	<0.001	***
Poor health ^{‡‡}	0.87 (0.74-1.03)	0.115	0.85 (0.71-1.01)	0.065	
Race ^{¶¶¶}					
<i>White</i>	Ref. ^{§§}	–	–	–	–
<i>Black</i>	0.16 (0.10-0.25)	<0.001	–	–	–
<i>Asian</i>	1.53 (1.04-2.29)	0.036	–	–	–
<i>Other/multiple</i>	0.63 (0.47-0.86)	0.003	–	–	–
Hispanic ^{¶¶¶}	0.97 (0.70-1.36)	0.873	–	–	–

* cOR, crude odds ratio

† CI, confidence interval

‡ aOR, adjusted odds ratio

§ Sig, significance with respect to the multivariable model. * p < 0.05, ** p < 0.01, *** p < 0.001

|| Self-reported gender

¶¶ Completion of influenza vaccination in the 2019-2020 season

“Extremely concerned” or “moderately concerned” about becoming infected with COVID-19 on a 5-point Likert scale

** Job responsibility involving direct patient interaction

†† Master’s degree or Doctorate/Professional

‡‡ Reporting anything other than “Excellent” or “Very Good” health on a 5-point Likert scale

§§ Ref. reference.

¶¶¶ – Not included in the multivariable model.

Table 4. Post-hoc, exploratory subgroup analyses: Intention to receive COVID-19 vaccination and reported barriers among White, Black, Hispanic, and Asian respondents.

Variable	No (%)*			
	White	Black	Hispanic	Asian
If a vaccine for novel coronavirus (COVID-19) receives Food and Drug Administration (FDA) approval, when do you intend to become vaccinated?				
<i>Within the first month of approval</i>	1152 (38.5)	17 (13.6)	56 (37.1)	61 (40.1)
<i>1-3 months after approval</i>	675 (22.6)	14 (11.2)	34 (22.5)	44 (28.9)
<i>4-6 months after approval</i>	333 (11.1)	12 (9.6)	19 (12.6)	20 (13.2)
<i>7-12 months after approval</i>	197 (6.6)	17 (13.6)	13 (8.6)	9 (5.9)
<i>More than 1 year (12 months) after approval</i>	355 (11.9)	29 (23.2)	18 (11.9)	16 (10.5)
<i>I do not intend to be vaccinated</i>	278 (9.3)	36 (28.8)	11 (7.3)	2 (1.3)
<i>No response</i>	10	1	1	0
Which of the following concerns influence your decision to delay vaccination or to not receive vaccination? [†]				
<i>The vaccine will not be safe</i>	1015 (55.2)	64 (59.3)	37 (38.9)	56 (61.5)
<i>The vaccine will not be effective</i>	693 (37.7)	38 (35.2)	34 (35.8)	29 (31.9)
<i>I may be allergic to the vaccine</i>	186 (10.1)	23 (21.3)	4 (4.2)	18 (19.8)
<i>Risk of vaccination is more than the benefit</i>	568 (30.9)	40 (37.0)	25 (26.3)	24 (26.4)
<i>Short-term side effects (painful injection, muscle pain, feeling unwell the day of the injection, etc.)</i>	129 (7.0)	18 (16.7)	4 (4.2)	11 (12.1)
<i>Long-term side effects of the vaccine</i>	1052 (57.2)	69 (63.9)	49 (51.6)	43 (47.3)
<i>The vaccine will be too expensive</i>	229 (12.5)	8 (7.4)	15 (15.8)	15 (16.5)
<i>I will not have time to get the vaccine</i>	69 (3.8)	0 (0.0)	9 (9.5)	5 (5.5)
<i>The vaccine will give me novel coronavirus (COVID-19)</i>	81 (4.4)	16 (14.8)	5 (5.3)	3 (3.3)
<i>Personal religious, moral or ethical reasons</i>	124 (6.7)	21 (19.4)	3 (3.2)	3 (3.3)
<i>Already been infected with novel coronavirus (COVID-19)</i>	45 (2.4)	2 (1.9)	0 (0.0)	1 (1.1)
<i>Other</i>	266 (14.5)	16 (14.8)	4 (4.2)	11 (12.1)

* Percentages refer to all respondents (excluding “no response”) for each item.

[†] Queried of all persons not reporting intention to become vaccinated within the first month. Percentages do not sum to 100% because respondents may report multiple concerns.



Supplemental Material to:

Acceptance of COVID-19 vaccination among healthcare personnel

[Author list redacted]

Text

Sensitivity Analyses

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- Appendix Table 5. Bivariable sensitivity analysis using alternative dichotomization thresholds for response variable: intention to receive COVID-19 within 1 month (rather than 3 months) of regulatory approval.
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Figures

Appendix Figure 1. Vaccine acceptance as a function of respondent order.



Sensitivity Analyses

For intention to receive vaccination within 3 months (primary outcome), alternatively thresholding any predictor variable did not change the interpretation of results in bivariable analyses (**Appendix Table 3**) analyses. For multivariable analysis analyses (**Appendix Table 4**), the interpretation of results also did not change for any combination of predictor variable thresholds, except that in one threshold combination (original concern, original education, alternative health status) age became a marginally-significant predictor variable (aOR 0.94; 95% CI 0.89-1.00; $p = 0.036$). Age was non-significant in the bivariable case and in all other threshold combinations. For intention to receive vaccination within 1 month (alternative threshold for primary outcome), bivariable analyses (**Appendix Table 5**) showed a new marginally-significant association with job role involving direct patient interaction (crude OR [cOR] 1.17; 95% CI 1.01-1.34; $p = 0.034$). This association did not persist ($p > 0.05$) in any multivariable analyses (**Appendix Table 6**) using all possible combinations of original and alternative predictor variables except in the case where original concern, original education and alternative health status thresholds were used. In this case only, job role involving direct patient interaction was again marginally significant (aOR 1.17; 95% CI 1.00-1.36; $p=0.047$). We thus conclude that associations between intention to receive early COVID-19 vaccination and male gender, prior influenza vaccination, higher concern about COVID-19 and higher educational attainment are robust against changes in the analysis method. We cannot fully exclude a weak association between age and early (< 3 month) vaccination intention or between job role involving direct patient interaction and very early (< 1 month) vaccination intention.

We also considered that age might influence vaccine intention non-linearly. To that end, we performed four-level, quantile discretization of age (age less than 30 years; $N=924$; age 30-39 years, $N=846$; age 40-54 years, $N=872$; and age 55 years or greater, $N=650$) and repeated the sensitivity analyses. The conclusions that male gender, prior influenza vaccination, elevated concern about COVID-19, and greater educational attainment – but not direct patient interaction or self-reported health – influence vaccination acceptance remain robust to this variation in the analysis. Bivariable analyses did not reveal a relationship between four-level age and vaccination intention at 1 or 3 months (**Appendix Table 7**). Multivariable analyses (**Appendix Tables 8 and 9**) suggested that persons aged 30-54 years – but not persons older than 54 years – had reduced likelihood to obtain vaccination by 3 months, but not at 1 month (alternative threshold for primary outcome). Because of this sensitivity to details of the analysis method, we are thus hesitant to assert that a non-linear association between age and vaccination intention can be inferred from the available data.

Appendix Table 1. Estimated demographics of the underlying population invited to participate in the survey.

	No. ^a (% ^b)			
	Survey	Health System ^c	University ^c	Composite ^d
Age, years				
18-24	437 (13.1)	1153 (9.9)	1334 (18.7)	2487 (13.3)
25-34	974 (29.1)	4054 (34.8)	2763 (38.8)	6817 (36.3)
35-44	709 (21.2)	2667 (22.9)	1362 (19.1)	4029 (21.5)
45-54	565 (16.9)	1887 (16.2)	812 (11.4)	2699 (14.4)
55-64	520 (15.5)	1572 (13.5)	628 (8.8)	2200 (11.7)
65 years or greater	142 (4.2)	315 (2.7)	221 (3.1)	536 (2.9)
Sex/Gender				
Male	726 (21.8)	2225 (19.1)	2912 (40.9)	5137 (27.4)
Female	2601 (78.0)	9423 (80.9)	4208 (59.1)	13631 (72.6)
Other	7 (0.2)	–	–	–
No response	13	–	–	–
Race ^e				
American Indian or Alaska Native	6 (0.2)	57 (0.5)	24 (0.4)	81 (0.4)
Asian/Pacific Islander	129 (3.9)	399 (3.4)	635 (9.6)	1034 (5.7)
Black or African American	104 (3.1)	2007 (17.2)	353 (5.3)	2360 (12.9)
White	2912 (87.6)	8555 (73.4)	5241 (78.9)	13796 (75.4)
Other	79 (2.4)	339 (2.9)	136 (2.0)	475 (2.6)
Multiple	95 (2.9)	291 (2.5)	250 (3.8)	541 (3.0)
No response	22	–	481	481
Hispanic, Latino or Spanish Origin				
Present	152 (4.6)	769 (6.6)	370 (5.2)	1139 (6.1)
Absent	3170 (95.4)	10879 (93.4)	6750 (94.8)	17629 (93.9)
No response	25	–	–	–
Total	3347	11648	7120	18768

^a The count (No.) of individuals in each demographic category are actual counts for the survey and University populations. Health System counts are calculated from the reported percentages and a total of 11648 employees.

^b Percentages are calculated from the number of responses for each category (excluding “no response”).

^c Health system demographic statistics are provided by University of [Redacted] Health System Human Resources Department, and as current as of 2020. University demographic statistics are provided by the University of [Redacted] Health Medical Center Office of Enterprise Analytics and are also current as of 2020. Health System and University populations are not completely disjoint (e.g. a University faculty physician may also be a member of the Health System medical staff) but this represents a minority of both populations.

^d Composite is a combination of Health System and University demographics to approximate the demographic features of the whole underlying eligible population.

^e Race and ethnicity data are reported here as orthogonal demographic features (i.e. Hispanic persons may be of any race). Health system and University race and ethnicity data were reported with Hispanic, Latino or Spanish origin as a racial category rather than two separate features for race and ethnicity. For the health system and University data, Hispanic/Latino persons were assumed to have racial distributions similar to their national distribution for Hispanic persons: 1.4% American Indian or Alaska Native, 0.5% Asian or Pacific Islander, 2.5% Black or African American, 53.0% White, 36.7% other, and 6.0% multiple races (these proportions do not sum to 100% due to rounding).



Appendix Table 2. Demographic features of records excluded from regression analysis.

Demographic	No. (%^a)
Age, years	
18-24	5 (9.1)
25-34	17 (30.9)
35-44	10 (18.2)
45-54	11 (20.0)
55-64	9 (16.4)
65 years or greater	3 (5.5)
Gender	
Man	2 (4.8)
Woman	33 (78.6)
Other	7 (16.7)
No response	13
Race	
American Indian or Alaska Native	–
Asian	1 (2.2)
Black or African American	1 (2.2)
White	39 (84.8)
Native Hawaiian or Other Pacific Islander	–
Other	4
Multiple	1
No response	9
Hispanic, Latino or Spanish Origin	
Absent	46 (95.8)
Present	2 (4.2)
No response	7
Educational attainment	
Some high school or less	–
High school graduate	2 (4.3)
Some college	3 (6.4)
College graduate	23 (48.9)
Master's degree	12 (25.5)
Doctorate or professional degree	7 (14.9)
No response	8
Clinical role	
No clinical responsibilities	11 (20.4)
Attending physician	2 (3.7)
Fellow or resident physician	3 (5.6)
Advanced practice provider (APRN, PA)	1 (1.9)
Licensed nurse (RN, LPN)	15 (27.8)
Clinical pharmacist or pharmacy staff	1 (1.9)
Physical, occupational or speech therapy	1 (1.9)
Unlicensed care aid	1 (1.9)
Case management or social work	–
Technician (radiology, EKG, lab draw, etc.)	3 (5.6)
Clinical laboratory or clinical pathology	2 (3.7)
Administrative or support staff	5 (9.3)
Medical student	2 (3.7)
Nursing student	2 (3.7)
School of health professions student	–



<i>Other student</i>	–
<i>Other</i>	5 (9.3)
<i>No response</i>	1

^a Percentages refer to all respondents (excluding “no response”) for each item.



Appendix Table 3. Bivariable sensitivity analysis for alternative dichotomization thresholds for predictor variables related to intention to receive COVID-19 within 3 months of regulatory approval.

Variable	cOR ^a (95% CI ^b)	p-value	Sig ^c
Alternative viral concern ^d	3.66 (3.10-4.34)	<0.001	***
Alternative higher education ^e	1.83 (1.50-2.24)	<0.001	***
Alternative poor health ^f	0.78 (0.52-1.17)	0.225	

^a cOR, crude odds ratio

^b CI, confidence interval

^c Sig, significance. * p < 0.05, ** p < 0.01, *** p < 0.001

^d "Extremely concerned", "moderately concerned", or "somewhat concerned" about becoming infected with COVID-19 on a 5-point Likert scale

^e College graduate, Master's degree or Doctorate/Professional degree

^f Reporting anything other than "Excellent", "Very Good", or "Good" health on a 5-point Likert scale

Appendix Table 4. Multivariable sensitivity analysis using alternative dichotomization thresholds for predictor variables related to intention to receive COVID-19 within 3 months of regulatory approval.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)			
Concern ^b	Edu ^c	Health ^d	Age	Gender, male	Influenza	Direct interaction
–	–	–	0.95 (0.90-1.00, 0.054)	2.43 (2.00-2.95,<0.001)	2.35 (1.75-3.18,<0.001)	0.92 (0.79-1.07, 0.282)
–	–	Alt	0.94 (0.89-1.00, 0.036)	2.43 (2.01-2.95,<0.001)	2.34 (1.74-3.16,<0.001)	0.93 (0.80-1.08, 0.341)
–	Alt	–	0.97 (0.92-1.02, 0.284)	2.47 (2.04-3.00,<0.001)	2.34 (1.74-3.16,<0.001)	0.91 (0.78-1.06, 0.212)
–	Alt	Alt	0.97 (0.92-1.02, 0.230)	2.47 (2.04-3.00,<0.001)	2.32 (1.72-3.14,<0.001)	0.92 (0.79-1.07, 0.256)
Alt	–	–	0.95 (0.90-1.01, 0.080)	2.60 (2.13-3.18,<0.001)	2.40 (1.77-3.26,<0.001)	0.88 (0.75-1.03, 0.108)
Alt	–	Alt	0.95 (0.90-1.00, 0.055)	2.60 (2.14-3.18,<0.001)	2.38 (1.76-3.24,<0.001)	0.89 (0.76-1.04, 0.137)
Alt	Alt	–	0.98 (0.92-1.03, 0.366)	2.64 (2.17-3.23,<0.001)	2.40 (1.77-3.26,<0.001)	0.87 (0.74-1.01, 0.075)
Alt	Alt	Alt	0.97 (0.92-1.03, 0.299)	2.65 (2.18-3.23,<0.001)	2.38 (1.75-3.23,<0.001)	0.88 (0.75-1.02, 0.096)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)			
Concern ^b	Edu ^c	Health ^d	Concern	Education	Health	
–	–	–	2.40 (2.07-2.79,<0.001)	1.41 (1.21-1.65,<0.001)	0.85 (0.71-1.01, 0.065)	
–	–	Alt	2.37 (2.05-2.75,<0.001)	1.43 (1.23-1.67,<0.001)	0.80 (0.53-1.23, 0.305)	
–	Alt	–	2.40 (2.07-2.79,<0.001)	1.54 (1.25-1.91,<0.001)	0.84 (0.70-1.01, 0.057)	
–	Alt	Alt	2.38 (2.05-2.76,<0.001)	1.58 (1.28-1.95,<0.001)	0.78 (0.51-1.19, 0.237)	
Alt	–	–	4.00 (3.35-4.78,<0.001)	1.42 (1.21-1.66,<0.001)	0.85 (0.71-1.02, 0.073)	
Alt	–	Alt	3.96 (3.32-4.74,<0.001)	1.44 (1.23-1.68,<0.001)	0.80 (0.52-1.22, 0.288)	
Alt	Alt	–	4.01 (3.36-4.79,<0.001)	1.54 (1.24-1.92,<0.001)	0.84 (0.70-1.01, 0.062)	
Alt	Alt	Alt	3.97 (3.33-4.75,<0.001)	1.58 (1.27-1.95,<0.001)	0.77 (0.51-1.18, 0.222)	

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.



Appendix Table 5. Bivariable sensitivity analysis using alternative dichotomization thresholds for response variable: intention to receive COVID-19 within 1 month (rather than 3 months) of regulatory approval.

Variable	cOR ^a (95% CI ^b)	p	Sig ^c
Age, decades	1.05 (0.99-1.10)	0.079	
Men^d	2.23 (1.89-2.64)	<0.001	***
Influenza vaccine^e	2.44 (1.76-3.46)	<0.001	***
Viral concern^f	1.95 (1.69-2.25)	<0.001	***
Patient interaction^g	1.17 (1.01-1.34)	0.034	*
Higher education^h	1.54 (1.33-1.77)	<0.001	***
Poor health ⁱ	0.85 (0.71-1.01)	0.063	
Alternative viral concern^j	2.63 (2.18-3.18)	<0.001	***
Alternative higher education^k	1.82 (1.46-2.28)	<0.001	***
Alternative poor health ^l	0.78 (0.50-1.19)	0.259	

^a cOR, crude odds ratio

^b CI, confidence interval

^c Sig, significance. * p < 0.05, ** p < 0.01, *** p < 0.001

^d Self-reported gender

^e Completion of influenza vaccination in the 2019-2020 season

^f “Extremely concerned” or “moderately concerned” about becoming infected with COVID-19 on a 5-point Likert scale

^g Job responsibility involving direct patient interaction

^h Master’s degree or Doctorate

ⁱ Reporting anything other than “Excellent” or “Very Good” health on a 5-point Likert scale

^j “Extremely concerned”, “moderately concerned”, or “somewhat concerned” about becoming infected with COVID-19 on a 5-point Likert scale

^k College graduate, Master’s degree or Doctorate/Professional degree

^l Reporting anything other than “Excellent”, “Very Good”, or “Good” health on a 5-point Likert scale

Appendix Table 6. Multivariable sensitivity analysis using alternative dichotomization thresholds for response variable: intention to receive COVID-19 within 1 months (rather than 3 months) of regulatory approval.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)			
Concern ^b	Edu ^c	Health ^d	Age	Gender, male	Influenza	Direct interaction
–	–	–	1.00 (0.95-1.06, 0.918)	2.25 (1.89-2.68,<0.001)	2.21 (1.57-3.18,<0.001)	1.16 (0.99-1.35, 0.064)
–	–	Alt	1.00 (0.94-1.05, 0.938)	2.25 (1.89-2.68,<0.001)	2.20 (1.57-3.16,<0.001)	1.17 (1.00-1.36, 0.047)
–	Alt	–	1.02 (0.97-1.08, 0.427)	2.28 (1.91-2.71,<0.001)	2.21 (1.57-3.17,<0.001)	1.14 (0.98-1.33, 0.090)
–	Alt	Alt	1.02 (0.97-1.07, 0.508)	2.28 (1.92-2.71,<0.001)	2.19 (1.56-3.15,<0.001)	1.15 (0.99-1.34, 0.070)
Alt	–	–	1.01 (0.96-1.07, 0.706)	2.28 (1.92-2.72,<0.001)	2.23 (1.58-3.21,<0.001)	1.12 (0.96-1.30, 0.160)
Alt	–	Alt	1.01 (0.95-1.06, 0.830)	2.29 (1.92-2.73,<0.001)	2.22 (1.57-3.19,<0.001)	1.13 (0.97-1.31, 0.128)
Alt	Alt	–	1.03 (0.98-1.09, 0.269)	2.31 (1.94-2.75,<0.001)	2.23 (1.58-3.21,<0.001)	1.10 (0.95-1.29, 0.209)
Alt	Alt	Alt	1.03 (0.97-1.08, 0.324)	2.32 (1.94-2.76,<0.001)	2.22 (1.57-3.19,<0.001)	1.11 (0.95-1.30, 0.174)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)			
Concern ^b	Edu ^c	Health ^d	Concern	Education	Health	
–	–	–	2.02 (1.74-2.35,<0.001)	1.29 (1.11-1.51, 0.001)	0.84 (0.70-1.01, 0.066)	
–	–	Alt	2.00 (1.72-2.32,<0.001)	1.31 (1.13-1.53,<0.001)	0.82 (0.52-1.27, 0.386)	
–	Alt	–	2.03 (1.74-2.36,<0.001)	1.49 (1.19-1.89,<0.001)	0.84 (0.70-1.01, 0.065)	
–	Alt	Alt	2.00 (1.73-2.33,<0.001)	1.53 (1.22-1.93,<0.001)	0.80 (0.51-1.24, 0.333)	
Alt	–	–	2.74 (2.26-3.34,<0.001)	1.30 (1.12-1.52,<0.001)	0.86 (0.72-1.03, 0.101)	
Alt	–	Alt	2.72 (2.24-3.31,<0.001)	1.32 (1.13-1.54,<0.001)	0.84 (0.53-1.29, 0.429)	
Alt	Alt	–	2.75 (2.27-3.35,<0.001)	1.51 (1.20-1.91,<0.001)	0.86 (0.72-1.03, 0.100)	
Alt	Alt	Alt	2.73 (2.25-3.32,<0.001)	1.54 (1.22-1.94,<0.001)	0.82 (0.52-1.26, 0.370)	

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.



Appendix Table 7. Bivariable sensitivity analysis using discretized age.

Response (Intention) ^a	Predictor (Age)	cOR ^b (95% CI ^c)	p-value	Sig ^d
3 months	Age 30-39 years ^e	0.83 (0.69-1.01)	0.058	
3 months	Age 40-54 years ^e	0.84 (0.69-1.01)	0.062	
3 months	Age >54 years ^e	0.98 (0.80-1.21)	0.877	
Alt: 1 month	Age 30-39 years ^e	0.93 (0.77-1.13)	0.483	
Alt: 1 month	Age 40-54 years ^e	0.94 (0.78-1.14)	0.532	
Alt: 1 month	Age >54 years ^e	1.12 (0.91-1.37)	0.289	

^a Intention to receive COVID-19 within 3 months or 1 month (Alt, alternative) of regulatory approval.

^b cOR, crude odds ratio

^c CI, confidence interval

^d Sig, significance. * p < 0.05, ** p < 0.01, *** p < 0.001

^e Odds ratio relative to the reference class, age < 30 years.

Appendix Table 8. Multivariable sensitivity analysis using discretized age and various alternative dichotomization thresholds for predictor variables related to intention to receive COVID-19 within 3 months of regulatory approval.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Age 30-39 years ^e	Age 40-54 years ^e	Age > 54 years ^e	Gender, male	Influenza
–	–	–	0.68 (0.55-0.83,<0.001)	0.66 (0.54-0.81,<0.001)	0.75 (0.60-0.94,0.012)	2.41 (1.99-2.93,<0.001)	2.37 (1.76-3.21,<0.001)
–	–	Alt	0.67 (0.55-0.83,<0.001)	0.65 (0.53-0.80,<0.001)	0.74 (0.59-0.93,0.008)	2.41 (1.99-2.93,<0.001)	2.36 (1.75-3.19,<0.001)
–	Alt	–	0.73 (0.60-0.90,0.002)	0.73 (0.60-0.90,0.003)	0.84 (0.67-1.05,0.118)	2.47 (2.04-3.00,<0.001)	2.36 (1.75-3.19,<0.001)
–	Alt	Alt	0.73 (0.60-0.89,0.002)	0.72 (0.59-0.89,0.002)	0.83 (0.66-1.03,0.097)	2.47 (2.05-3.01,<0.001)	2.34 (1.74-3.16,<0.001)
Alt	–	–	0.69 (0.56-0.85,<0.001)	0.69 (0.56-0.85,<0.001)	0.75 (0.60-0.95,0.016)	2.59 (2.12-3.16,<0.001)	2.42 (1.79-3.30,<0.001)
Alt	–	Alt	0.68 (0.56-0.84,<0.001)	0.68 (0.55-0.83,<0.001)	0.74 (0.59-0.94,0.011)	2.59 (2.12-3.17,<0.001)	2.41 (1.78-3.27,<0.001)
Alt	Alt	–	0.75 (0.61-0.92,0.005)	0.76 (0.62-0.94,0.009)	0.84 (0.67-1.06,0.136)	2.65 (2.18-3.24,<0.001)	2.42 (1.79-3.29,<0.001)
Alt	Alt	Alt	0.74 (0.60-0.91,0.004)	0.75 (0.61-0.92,0.006)	0.83 (0.67-1.04,0.112)	2.65 (2.18-3.24,<0.001)	2.40 (1.77-3.26,<0.001)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval; p)				
Concern ^b	Edu ^c	Health ^d	Direct interaction	Concern	Education	Health	
–	–	–	0.91 (0.78-1.07,0.253)	2.40 (2.07-2.79,<0.001)	1.50 (1.28-1.76,<0.001)	0.87 (0.73-1.04,0.136)	
–	–	Alt	0.92 (0.79-1.07,0.296)	2.38 (2.05-2.76,<0.001)	1.52 (1.30-1.78,<0.001)	0.84 (0.55-1.28,0.413)	
–	Alt	–	0.90 (0.77-1.05,0.189)	2.42 (2.08-2.81,<0.001)	1.60 (1.29-1.98,<0.001)	0.86 (0.72-1.03,0.098)	
–	Alt	Alt	0.91 (0.78-1.06,0.224)	2.40 (2.07-2.78,<0.001)	1.63 (1.32-2.02,<0.001)	0.80 (0.52-1.22,0.296)	
Alt	–	–	0.88 (0.75-1.02,0.096)	3.99 (3.34-4.77,<0.001)	1.51 (1.28-1.77,<0.001)	0.87 (0.73-1.05,0.140)	
Alt	–	Alt	0.88 (0.76-1.03,0.117)	3.96 (3.31-4.74,<0.001)	1.52 (1.30-1.79,<0.001)	0.83 (0.54-1.27,0.377)	
Alt	Alt	–	0.86 (0.74-1.01,0.066)	4.01 (3.36-4.80,<0.001)	1.60 (1.29-1.98,<0.001)	0.86 (0.72-1.03,0.099)	
Alt	Alt	Alt	0.87 (0.74-1.02,0.082)	3.98 (3.33-4.76,<0.001)	1.63 (1.31-2.02,<0.001)	0.79 (0.52-1.21,0.268)	

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.

^e Odds ratio relative to the reference class, age < 30 years.

Appendix Table 9. Multivariable sensitivity analysis using discretized age and various alternative dichotomization thresholds for predictor variables related to intention to receive COVID-19 within 1 months of regulatory approval (alternative response variable).

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Age 30-39 years ^e	Age 40-54 years ^e	Age > 54 years ^e	Gender, male	Influenza
–	–	–	0.81 (0.66-0.99, 0.043)	0.81 (0.66-1.00, 0.045)	0.95 (0.76-1.18, 0.621)	2.24 (1.88-2.67,<0.001)	2.22 (1.58-3.19,<0.001)
–	–	Alt	0.80 (0.65-0.98, 0.034)	0.79 (0.65-0.98, 0.029)	0.93 (0.75-1.16, 0.524)	2.24 (1.88-2.67,<0.001)	2.21 (1.57-3.18,<0.001)
–	Alt	–	0.86 (0.70-1.05, 0.138)	0.88 (0.72-1.07, 0.201)	1.03 (0.83-1.29, 0.769)	2.28 (1.92-2.71,<0.001)	2.21 (1.57-3.18,<0.001)
–	Alt	Alt	0.85 (0.70-1.04, 0.120)	0.86 (0.71-1.06, 0.157)	1.02 (0.82-1.27, 0.848)	2.28 (1.92-2.72,<0.001)	2.20 (1.56-3.16,<0.001)
Alt	–	–	0.83 (0.68-1.02, 0.079)	0.84 (0.68-1.04, 0.108)	0.97 (0.78-1.21, 0.796)	2.27 (1.91-2.71,<0.001)	2.24 (1.59-3.22,<0.001)
Alt	–	Alt	0.82 (0.67-1.01, 0.064)	0.83 (0.67-1.02, 0.078)	0.96 (0.77-1.19, 0.698)	2.28 (1.91-2.71,<0.001)	2.23 (1.58-3.20,<0.001)
Alt	Alt	–	0.88 (0.72-1.08, 0.234)	0.92 (0.75-1.12, 0.393)	1.06 (0.86-1.32, 0.574)	2.31 (1.94-2.76,<0.001)	2.24 (1.59-3.22,<0.001)
Alt	Alt	Alt	0.88 (0.72-1.07, 0.209)	0.90 (0.74-1.11, 0.330)	1.05 (0.85-1.31, 0.639)	2.32 (1.95-2.76,<0.001)	2.23 (1.58-3.20,<0.001)

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Direct interaction	Concern	Education	Health	
–	–	–	1.15 (0.99-1.34, 0.075)	2.03 (1.75-2.36,<0.001)	1.36 (1.16-1.59,<0.001)	0.86 (0.72-1.03, 0.113)	
–	–	Alt	1.16 (0.99-1.35, 0.058)	2.01 (1.73-2.33,<0.001)	1.38 (1.18-1.61,<0.001)	0.85 (0.54-1.31, 0.470)	
–	Alt	–	1.14 (0.98-1.32, 0.101)	2.04 (1.75-2.37,<0.001)	1.53 (1.22-1.94,<0.001)	0.86 (0.71-1.03, 0.095)	
–	Alt	Alt	1.15 (0.98-1.33, 0.081)	2.02 (1.74-2.35,<0.001)	1.57 (1.25-1.98,<0.001)	0.82 (0.52-1.27, 0.384)	
Alt	–	–	1.11 (0.95-1.29, 0.185)	2.73 (2.25-3.33,<0.001)	1.36 (1.16-1.60,<0.001)	0.88 (0.73-1.05, 0.161)	
Alt	–	Alt	1.12 (0.96-1.30, 0.153)	2.71 (2.24-3.30,<0.001)	1.38 (1.18-1.61,<0.001)	0.86 (0.55-1.33, 0.511)	
Alt	Alt	–	1.10 (0.94-1.28, 0.233)	2.75 (2.27-3.35,<0.001)	1.54 (1.22-1.95,<0.001)	0.87 (0.73-1.04, 0.138)	
Alt	Alt	Alt	1.11 (0.95-1.29, 0.198)	2.73 (2.25-3.33,<0.001)	1.57 (1.25-1.99,<0.001)	0.83 (0.53-1.28, 0.419)	

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.

^e Odds ratio relative to the reference class, age < 30 years.

Appendix Table 10. Multivariable sensitivity analysis for inclusion of survey completion timestamp on 3-month vaccination intention.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Age	Gender, male	Influenza	Direct interaction	Concern
–	–	–	0.95 (0.90-1.00, 0.049)	2.43 (2.01-2.95,<0.001)	2.34 (1.74-3.17,<0.001)	0.92 (0.79-1.07, 0.298)	2.40 (2.07-2.79,<0.001)
–	–	Alt	0.94 (0.89-1.00, 0.033)	2.43 (2.01-2.96,<0.001)	2.33 (1.73-3.15,<0.001)	0.93 (0.80-1.08, 0.359)	2.37 (2.05-2.75,<0.001)
–	Alt	–	0.97 (0.92-1.02, 0.261)	2.47 (2.04-3.00,<0.001)	2.32 (1.73-3.14,<0.001)	0.91 (0.78-1.06, 0.224)	2.41 (2.07-2.79,<0.001)
–	Alt	Alt	0.97 (0.92-1.02, 0.210)	2.47 (2.05-3.00,<0.001)	2.31 (1.71-3.12,<0.001)	0.92 (0.79-1.07, 0.270)	2.38 (2.05-2.76,<0.001)
Alt	–	–	0.95 (0.90-1.00, 0.073)	2.60 (2.14-3.19,<0.001)	2.38 (1.76-3.24,<0.001)	0.88 (0.76-1.03, 0.117)	4.01 (3.36-4.80,<0.001)
Alt	–	Alt	0.95 (0.90-1.00, 0.050)	2.61 (2.14-3.19,<0.001)	2.36 (1.75-3.21,<0.001)	0.89 (0.76-1.04, 0.147)	3.97 (3.33-4.75,<0.001)
Alt	Alt	–	0.97 (0.92-1.03, 0.336)	2.65 (2.18-3.24,<0.001)	2.38 (1.76-3.24,<0.001)	0.87 (0.74-1.02, 0.081)	4.02 (3.36-4.81,<0.001)
Alt	Alt	Alt	0.97 (0.92-1.02, 0.273)	2.65 (2.18-3.24,<0.001)	2.36 (1.74-3.21,<0.001)	0.88 (0.75-1.03, 0.103)	3.98 (3.34-4.76,<0.001)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Education	Health	Timestamp ^e		
–	–	–	1.41 (1.20-1.64,<0.001)	0.85 (0.71-1.01, 0.066)	0.99 (0.96-1.01, 0.241)		
–	–	Alt	1.43 (1.22-1.66,<0.001)	0.80 (0.53-1.23, 0.306)	0.99 (0.96-1.01, 0.240)		
–	Alt	–	1.55 (1.25-1.91,<0.001)	0.84 (0.70-1.01, 0.059)	0.98 (0.96-1.01, 0.167)		
–	Alt	Alt	1.58 (1.28-1.96,<0.001)	0.78 (0.51-1.19, 0.239)	0.98 (0.96-1.01, 0.162)		
Alt	–	–	1.41 (1.21-1.65,<0.001)	0.85 (0.71-1.02, 0.073)	0.98 (0.96-1.01, 0.164)		
Alt	–	Alt	1.43 (1.22-1.67,<0.001)	0.80 (0.52-1.22, 0.288)	0.98 (0.96-1.01, 0.163)		
Alt	Alt	–	1.55 (1.25-1.92,<0.001)	0.84 (0.70-1.01, 0.063)	0.98 (0.96-1.01, 0.115)		
Alt	Alt	Alt	1.58 (1.28-1.96,<0.001)	0.77 (0.51-1.18, 0.224)	0.98 (0.96-1.00, 0.112)		

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.

^e Measured in days.

Appendix Table 11. Multivariable sensitivity analysis for inclusion of survey completion timestamp on 1-month vaccination intention.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Age	Gender, male	Influenza	Direct interaction	Concern
–	–	–	1.00 (0.95-1.06, 0.953)	2.25 (1.89-2.68,<0.001)	2.20 (1.56-3.16,<0.001)	1.16 (0.99-1.35, 0.060)	2.02 (1.74-2.35,<0.001)
–	–	Alt	1.00 (0.94-1.05, 0.903)	2.26 (1.90-2.69,<0.001)	2.19 (1.56-3.15,<0.001)	1.17 (1.00-1.36, 0.045)	2.00 (1.72-2.32,<0.001)
–	Alt	–	1.02 (0.97-1.08, 0.462)	2.28 (1.91-2.71,<0.001)	2.20 (1.56-3.15,<0.001)	1.14 (0.98-1.33, 0.085)	2.03 (1.74-2.36,<0.001)
–	Alt	Alt	1.02 (0.96-1.07, 0.546)	2.28 (1.92-2.71,<0.001)	2.18 (1.55-3.13,<0.001)	1.15 (0.99-1.34, 0.066)	2.00 (1.73-2.33,<0.001)
Alt	–	–	1.01 (0.96-1.07, 0.740)	2.29 (1.92-2.73,<0.001)	2.22 (1.57-3.18,<0.001)	1.12 (0.96-1.30, 0.152)	2.75 (2.26-3.35,<0.001)
Alt	–	Alt	1.00 (0.95-1.06, 0.865)	2.29 (1.92-2.73,<0.001)	2.20 (1.56-3.17,<0.001)	1.13 (0.97-1.31, 0.121)	2.72 (2.25-3.32,<0.001)
Alt	Alt	–	1.03 (0.98-1.09, 0.295)	2.31 (1.94-2.75,<0.001)	2.22 (1.57-3.19,<0.001)	1.11 (0.95-1.29, 0.199)	2.75 (2.27-3.35,<0.001)
Alt	Alt	Alt	1.03 (0.97-1.08, 0.353)	2.32 (1.95-2.76,<0.001)	2.20 (1.56-3.17,<0.001)	1.11 (0.96-1.30, 0.165)	2.73 (2.25-3.33,<0.001)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Education	Health	Timestamp ^e		
–	–	–	1.29 (1.11-1.50, 0.001)	0.84 (0.70-1.01, 0.067)	0.99 (0.96-1.01, 0.246)		
–	–	Alt	1.31 (1.13-1.53,<0.001)	0.82 (0.52-1.27, 0.388)	0.99 (0.96-1.01, 0.244)		
–	Alt	–	1.50 (1.19-1.90,<0.001)	0.84 (0.70-1.01, 0.067)	0.98 (0.96-1.01, 0.184)		
–	Alt	Alt	1.54 (1.22-1.94,<0.001)	0.81 (0.51-1.24, 0.337)	0.98 (0.96-1.01, 0.180)		
Alt	–	–	1.30 (1.11-1.52,<0.001)	0.86 (0.72-1.03, 0.102)	0.98 (0.96-1.01, 0.198)		
Alt	–	Alt	1.32 (1.13-1.54,<0.001)	0.84 (0.53-1.29, 0.431)	0.98 (0.96-1.01, 0.197)		
Alt	Alt	–	1.51 (1.20-1.91,<0.001)	0.86 (0.72-1.03, 0.103)	0.98 (0.96-1.01, 0.149)		
Alt	Alt	Alt	1.55 (1.23-1.95,<0.001)	0.82 (0.52-1.26, 0.373)	0.98 (0.96-1.01, 0.146)		

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.

^e Measured in days.



Appendix Table 12. Bivariable sensitivity analysis including race.

Response (Intention) ^a	Predictor (Age)	cOR ^b (95% CI ^c)	p-value	Sig ^d
3 months	Black or African American ^e	0.16 (0.10-0.25)	<0.001	***
3 months	Asian ^e	1.53 (1.04-2.29)	0.036	*
3 months	Other/multiple race ^e	0.65 (0.48-0.88)	0.006	**
Alt: 1 month	Black or African American ^e	0.21 (0.11-0.37)	<0.001	***
Alt: 1 month	Asian ^e	1.08 (0.75-1.56)	0.677	
Alt: 1 month	Other/multiple race ^e	0.87 (0.63-1.20)	0.401	

^a Intention to receive COVID-19 within 3 months or 1 month (Alt, alternative) of regulatory approval.

^b cOR, crude odds ratio

^c CI, confidence interval

^d Sig, significance. * p < 0.05, ** p < 0.01, *** p < 0.001

^e Odds ratio relative to the reference class, White race.

Appendix Table 13. Multivariable sensitivity analysis for inclusion of four-level race (white, black, asian, other/multiple) on 3-month vaccination intention.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Age	Gender, male	Influenza	Direct interaction	Concern
–	–	–	0.94 (0.89-1.00, 0.037)	2.48 (2.04-3.03,<0.001)	2.22 (1.64-3.02,<0.001)	0.91 (0.78-1.06, 0.227)	2.53 (2.17-2.95,<0.001)
–	–	Alt	0.94 (0.89-0.99, 0.025)	2.48 (2.04-3.03,<0.001)	2.21 (1.63-3.01,<0.001)	0.92 (0.79-1.07, 0.273)	2.50 (2.15-2.92,<0.001)
–	Alt	–	0.96 (0.91-1.02, 0.190)	2.53 (2.09-3.09,<0.001)	2.21 (1.63-3.01,<0.001)	0.90 (0.77-1.05, 0.187)	2.54 (2.18-2.96,<0.001)
–	Alt	Alt	0.96 (0.91-1.02, 0.153)	2.53 (2.09-3.09,<0.001)	2.20 (1.62-2.99,<0.001)	0.91 (0.78-1.06, 0.225)	2.51 (2.16-2.93,<0.001)
Alt	–	–	0.95 (0.89-1.00, 0.060)	2.65 (2.17-3.26,<0.001)	2.23 (1.64-3.05,<0.001)	0.87 (0.74-1.02, 0.088)	4.15 (3.47-4.99,<0.001)
Alt	–	Alt	0.94 (0.89-1.00, 0.042)	2.65 (2.17-3.26,<0.001)	2.21 (1.62-3.03,<0.001)	0.88 (0.75-1.03, 0.110)	4.12 (3.44-4.94,<0.001)
Alt	Alt	–	0.97 (0.92-1.02, 0.270)	2.71 (2.22-3.32,<0.001)	2.23 (1.64-3.06,<0.001)	0.86 (0.74-1.01, 0.071)	4.16 (3.47-4.99,<0.001)
Alt	Alt	Alt	0.97 (0.91-1.02, 0.222)	2.71 (2.22-3.32,<0.001)	2.21 (1.62-3.03,<0.001)	0.87 (0.74-1.02, 0.089)	4.12 (3.45-4.95,<0.001)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Education	Health	Black ^e	Asian ^e	Other/Multiple race ^e
–	–	–	1.36 (1.16-1.60,<0.001)	0.86 (0.71-1.03, 0.098)	0.13 (0.08-0.21,<0.001)	1.15 (0.77-1.76, 0.509)	0.59 (0.43-0.82, 0.001)
–	–	Alt	1.38 (1.18-1.62,<0.001)	0.82 (0.54-1.26, 0.366)	0.13 (0.08-0.22,<0.001)	1.16 (0.77-1.78, 0.481)	0.59 (0.42-0.81, 0.001)
–	Alt	–	1.44 (1.16-1.78, 0.001)	0.85 (0.71-1.02, 0.082)	0.14 (0.08-0.22,<0.001)	1.20 (0.80-1.83, 0.394)	0.59 (0.43-0.82, 0.002)
–	Alt	Alt	1.47 (1.18-1.82,<0.001)	0.80 (0.52-1.23, 0.299)	0.14 (0.08-0.22,<0.001)	1.21 (0.81-1.85, 0.365)	0.59 (0.43-0.82, 0.002)
Alt	–	–	1.38 (1.17-1.62,<0.001)	0.86 (0.72-1.04, 0.117)	0.14 (0.08-0.23,<0.001)	1.12 (0.74-1.73, 0.590)	0.59 (0.42-0.82, 0.002)
Alt	–	Alt	1.39 (1.19-1.64,<0.001)	0.82 (0.53-1.26, 0.353)	0.14 (0.08-0.23,<0.001)	1.13 (0.75-1.74, 0.560)	0.58 (0.42-0.81, 0.001)
Alt	Alt	–	1.44 (1.15-1.79, 0.001)	0.85 (0.71-1.03, 0.094)	0.14 (0.09-0.24,<0.001)	1.17 (0.77-1.79, 0.470)	0.59 (0.43-0.82, 0.002)
Alt	Alt	Alt	1.46 (1.18-1.82,<0.001)	0.79 (0.52-1.22, 0.284)	0.14 (0.09-0.24,<0.001)	1.18 (0.78-1.81, 0.437)	0.59 (0.42-0.82, 0.002)

^a Boldface text indicates p < 0.05.

^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.

^e Odds ratio relative to the reference class, White race.

Appendix Table 14. Multivariable sensitivity analysis for inclusion of four-level race (white, black, asian, other/multiple) on 1-month vaccination intention.

Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Age	Gender, male	Influenza	Direct interaction	Concern
–	–	–	1.00 (0.95-1.06, 0.980)	2.27 (1.91-2.71,<0.001)	2.12 (1.50-3.05,<0.001)	1.14 (0.98-1.33, 0.094)	2.07 (1.77-2.41,<0.001)
–	–	Alt	1.00 (0.94-1.05, 0.893)	2.28 (1.91-2.72,<0.001)	2.11 (1.49-3.04,<0.001)	1.15 (0.99-1.34, 0.073)	2.04 (1.76-2.38,<0.001)
–	Alt	–	1.02 (0.97-1.08, 0.482)	2.30 (1.93-2.74,<0.001)	2.12 (1.50-3.05,<0.001)	1.13 (0.97-1.32, 0.119)	2.07 (1.78-2.41,<0.001)
–	Alt	Alt	1.02 (0.96-1.07, 0.559)	2.31 (1.94-2.75,<0.001)	2.11 (1.49-3.03,<0.001)	1.14 (0.98-1.33, 0.095)	2.05 (1.76-2.38,<0.001)
Alt	–	–	1.01 (0.95-1.07, 0.745)	2.30 (1.93-2.74,<0.001)	2.11 (1.50-3.05,<0.001)	1.10 (0.95-1.29, 0.210)	2.74 (2.26-3.35,<0.001)
Alt	–	Alt	1.01 (0.95-1.06, 0.853)	2.30 (1.93-2.75,<0.001)	2.11 (1.49-3.03,<0.001)	1.11 (0.95-1.30, 0.174)	2.72 (2.24-3.32,<0.001)
Alt	Alt	–	1.03 (0.98-1.09, 0.292)	2.33 (1.95-2.78,<0.001)	2.12 (1.50-3.06,<0.001)	1.09 (0.94-1.28, 0.251)	2.74 (2.26-3.35,<0.001)
Alt	Alt	Alt	1.03 (0.97-1.08, 0.342)	2.33 (1.96-2.78,<0.001)	2.11 (1.49-3.05,<0.001)	1.10 (0.95-1.29, 0.212)	2.72 (2.24-3.32,<0.001)
Alternative dichotomization			Multivariable odds ratio (95% confidence interval, p ^a)				
Concern ^b	Edu ^c	Health ^d	Education	Health	Black ^e	Asian ^e	Other/Multiple race ^e
–	–	–	1.28 (1.09-1.49, 0.002)	0.85 (0.71-1.02, 0.091)	0.20 (0.10-0.35,<0.001)	0.87 (0.59-1.28, 0.485)	0.84 (0.60-1.17, 0.299)
–	–	Alt	1.30 (1.11-1.51,<0.001)	0.84 (0.53-1.29, 0.428)	0.20 (0.10-0.35,<0.001)	0.88 (0.60-1.29, 0.525)	0.83 (0.59-1.16, 0.279)
–	Alt	–	1.44 (1.14-1.83, 0.002)	0.85 (0.71-1.02, 0.087)	0.20 (0.10-0.36,<0.001)	0.90 (0.61-1.31, 0.574)	0.84 (0.60-1.17, 0.312)
–	Alt	Alt	1.47 (1.17-1.87, 0.001)	0.82 (0.52-1.27, 0.382)	0.20 (0.10-0.37,<0.001)	0.91 (0.62-1.33, 0.624)	0.83 (0.59-1.16, 0.291)
Alt	–	–	1.29 (1.11-1.51, 0.001)	0.87 (0.73-1.05, 0.143)	0.21 (0.11-0.38,<0.001)	0.87 (0.59-1.28, 0.490)	0.85 (0.60-1.18, 0.330)
Alt	–	Alt	1.31 (1.12-1.53,<0.001)	0.85 (0.54-1.31, 0.474)	0.21 (0.11-0.37,<0.001)	0.88 (0.60-1.29, 0.522)	0.84 (0.60-1.17, 0.308)
Alt	Alt	–	1.45 (1.15-1.85, 0.002)	0.87 (0.72-1.04, 0.136)	0.22 (0.11-0.39,<0.001)	0.90 (0.61-1.32, 0.591)	0.85 (0.60-1.18, 0.343)
Alt	Alt	Alt	1.48 (1.18-1.88, 0.001)	0.83 (0.53-1.29, 0.421)	0.22 (0.11-0.39,<0.001)	0.91 (0.62-1.33, 0.631)	0.84 (0.60-1.18, 0.319)

^a Boldface text indicates p < 0.05.

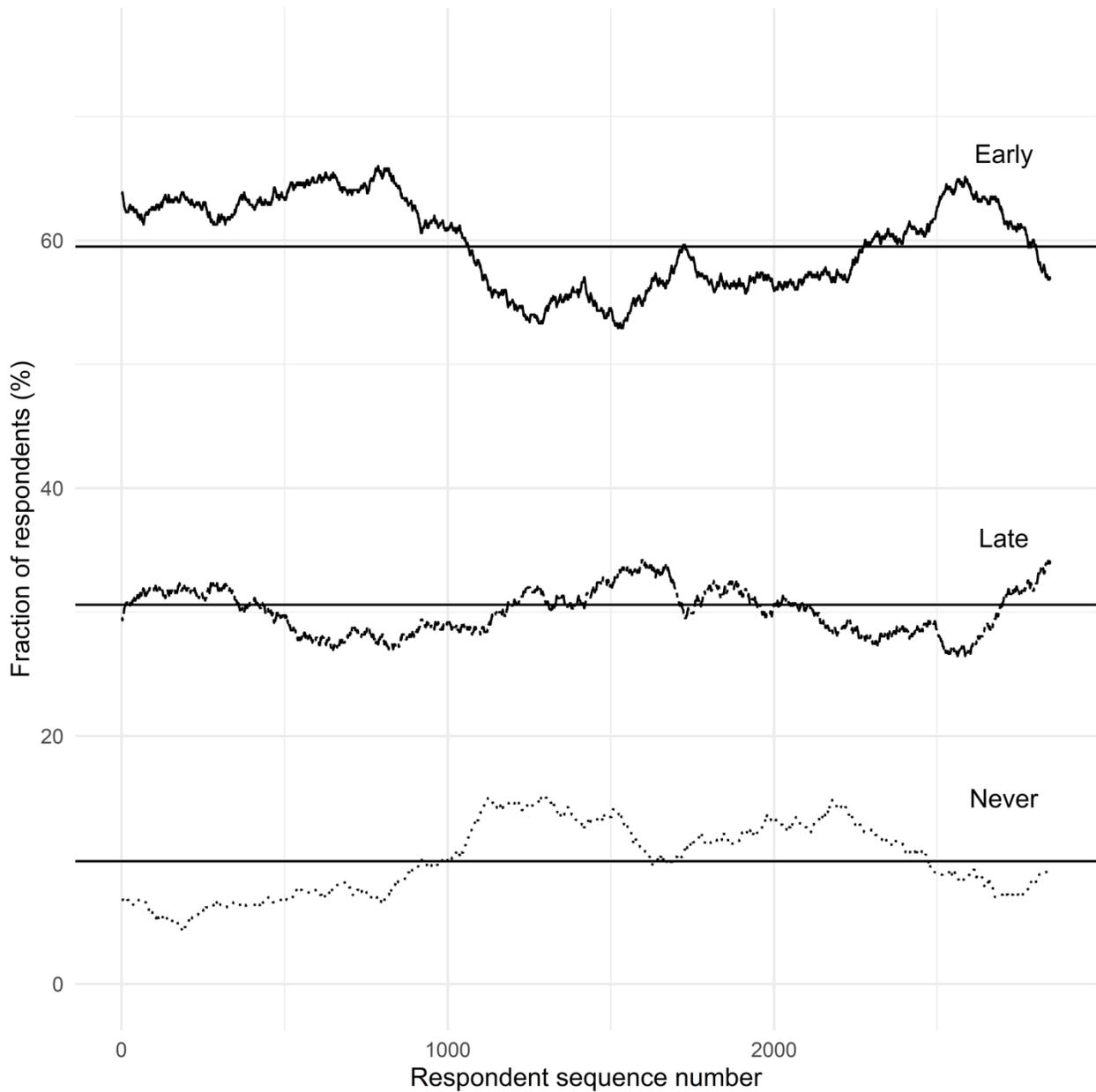
^b Concern about becoming infected with COVID-19. Concern was reported on a 5-point Likert scale (extremely, moderately, somewhat, slightly, not at all). The original (–) threshold divides between moderately/somewhat. The alternative (Alt) threshold divides between somewhat/slightly.

^c Edu, educational attainment. Educational attainment was reported on a 6-point Likert scale (some high school, high school graduate, some college, college graduate, Master's degree and Doctoral/Professional degree). The original (–) threshold divides between College graduate/Master's degree. The alternative (Alt) threshold divides between some college/college graduate.

^d Self-reported health status was reported on a 5-point Likert scale (excellent, very good, good, fair, poor). The original threshold (–) divides between very good/good. The alternative (Alt) threshold divides between good/fair.

^e Odds ratio relative to the reference class, White race.

Appendix Figure 1. Vaccine acceptance as a function of respondent order. The proportion of respondents reporting early (<3 months), late (>3 months) and never vaccine acceptance within 500-participant rolling windows is shown. Earlier respondents appear on the left while later respondents appear on the right. Rolling averages are compared to the whole-sample averages (solid lines). Vaccine acceptance patterns remain essentially stable as a function of respondent order, which is consistent with – but does not definitively establish – the absence of strong non-response bias.



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Page 1

COVID-19 Vaccination Preferences

You have been asked to complete this survey because you are an employee or student at the University of Kansas Medical Center or the University of Kansas Health System. The SARS-CoV-2 Executive Daily Digest Working Group is recruiting research participants to help us understand factors that will influence your decision to obtain a novel coronavirus (COVID-19) vaccine, when one becomes available.

Participation involves completing this survey. The survey will take about 5-10 minutes. No identifiable information will be collected about you, and the survey is anonymous. In addition to the survey questions, we will request information about your age, sex, gender, race, ethnicity, and educational status.

There are no personal benefits or risks to participating in this study. Participation is voluntary, and you can stop taking the survey at any time. Participation or declining will have no impact on your employee or academic evaluations.

If you have any questions or concerns, please contact Daniel Parente, MD PhD, at dparente@kumc.edu. For questions about the rights of research participants, you may contact the KUMC Institutional Review Board (IRB) at (913) 588-1240 or humansubjects@kumc.edu.

In general, would you say your health is:

- Excellent
- Very good
- Good
- Fair
- Poor

If a vaccine for novel coronavirus (COVID-19) receives Food and Drug Administration (FDA) approval, when do you intend to become vaccinated?

- Within the first month of approval
- 1-3 months after approval
- 4-6 months after approval
- 7-12 months after approval
- More than 1 year (12 months) after approval
- I do not intend to be vaccinated

Which of the following concerns influence your decision to delay vaccination or to not receive vaccination? (Select all that apply)

- The vaccine will not be safe
- The vaccine will not be effective
- I may be allergic to the vaccine
- Risk of vaccination is more than the benefit
- Short-term side effects of the vaccine (painful injection, muscle pain, feeling unwell the day of the injection, etc.)
- Long-term side effects of the vaccine
- The vaccine will be too expensive
- I will not have time to get the vaccine
- The vaccine will give me novel coronavirus (COVID-19)
- Personal religious, moral or ethical reasons
- Already been infected with novel coronavirus (COVID-19)
- Other

What other factor influences your decision?

Are you currently participating in any clinical trial related to any novel coronavirus (COVID-19) vaccine?

- Yes
- No



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How concerned are you about becoming infected with novel coronavirus (COVID-19)?	<input type="radio"/> Extremely concerned <input type="radio"/> Moderately concerned <input type="radio"/> Somewhat concerned <input type="radio"/> Slightly concerned <input type="radio"/> Not at all concerned
Have you ever been diagnosed with novel coronavirus (COVID-19)?	<input type="radio"/> Yes <input type="radio"/> No
Have you ever had a positive antibody test for prior exposure to novel coronavirus (COVID-19)?	<input type="radio"/> Yes <input type="radio"/> No
Do your job responsibilities involve direct patient interaction?	<input type="radio"/> Yes <input type="radio"/> No
Have you directly interacted with any patient known to have novel coronavirus (COVID-19) infection?	<input type="radio"/> Yes <input type="radio"/> No
What is your clinical role (if any)?	<input type="radio"/> My job does not involve clinical responsibilities <input type="radio"/> Attending physician <input type="radio"/> Fellow or resident physician <input type="radio"/> Advanced practice provider (APRN, PA) <input type="radio"/> Licensed nurse (RN, LPN) <input type="radio"/> Clinical pharmacist or pharmacy staff <input type="radio"/> Physical, occupational or speech therapy <input type="radio"/> Unlicensed care aid <input type="radio"/> Case management or social work <input type="radio"/> Technician (radiology, EKG, lab draw, etc.) <input type="radio"/> Clinical laboratory or clinical pathology <input type="radio"/> Administrative or support staff <input type="radio"/> Medical student <input type="radio"/> Nursing student <input type="radio"/> School of health professions student <input type="radio"/> Other student <input type="radio"/> Other (specify)
Please specify your other role	_____
Did you receive an influenza vaccine last flu season (September, 1, 2019, to March 30, 2020)?	<input type="radio"/> Yes <input type="radio"/> No
Had you ever had a serious allergic reaction (hives, swelling of the face and throat, difficulty breathing, a fast heartbeat, dizziness, or weakness) to any vaccine?	<input type="radio"/> Yes <input type="radio"/> No



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How old are you?

- 17 years or younger
- 18-24 years
- 25-29 years
- 30-34 years
- 35-39 years
- 40-44 years
- 45-49 years
- 50-54 years
- 55-59 years
- 60-64 years
- 65-69 years
- 70-74 years
- 75-79 years
- 80-84 years
- 85-89 years
- 90 years or more

What was your biological sex at birth?

- Male
- Female
- Other

With what gender do you identify?

- Man
- Woman
- Other

What is your race? (Check all that apply)

- American Indian or Alaskan Native
- Asian
- Black or African American
- White or Caucasian
- Native Hawaiian or Other Pacific Islander
- Other

Are you of Hispanic, Latino or Spanish origin?

- Yes
- No

What is the highest level of school you have completed?

- Some high school or less
- High school graduate
- Some college
- College graduate
- Master's degree
- Doctorate or professional degree