

Title: Advance Care Planning During the COVID-19 Pandemic

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Abstract

Background: Advance care planning (ACP) is especially important during the COVID-19 pandemic. Previously identified barriers to ACP include lack of time during patient visits, billing, clinician and patient discomfort and lack of resources, and difficulties with documenting and accessing ACP documents.

Purpose: Here we describe new challenges and new opportunities for ACP that have arisen from the COVID-19 pandemic, both due to the complexities of the illness and expedited changes in some of the stagnancies in the healthcare system.

Discussion: The shared risk for COVID-19 that all people face brings urgency to ACP conversations that include eliciting patient preferences regarding care in the context of becoming critically ill with COVID-19. The pandemic has expedited changes that may facilitate ACP completion, including improved access and ability to bill for telehealth and telephone visits, and institutional policy changes to ACP form completion. However, research should assess acceptability and effectiveness of these strategies.

Advance Care Planning During the COVID-19 Pandemic

Introduction

Two men in their 20s with COVID-19 declined their legal next of kin as surrogate decision-maker. The restriction on hospital visitors made impossible the usual process of notarizing DPOA-HC (durable power-of-attorney for healthcare) forms. An emergency institutional policy change and the creative idea of using carbon copy paper allowed both patients to complete DPOA-HC forms before being intubated.

Advance care planning (ACP) conversations, including designating a DPOA-HC, are ideally done in non-emergent situations using a shared decision-making approach.¹ Then later if a patient has new or advancing illness, their wishes can then be confirmed or re-addressed. Previously identified barriers to this process include lack of time during patient visits, billing, clinician and patient discomfort and lack of resources, and difficulties with documenting and accessing ACP documents.²⁻⁹ New challenges and new opportunities for ACP have arisen from the COVID-19 pandemic, both due to the complexities of the illness and expedited changes in some of the stagnancies in the healthcare system.

The COVID-19 pandemic heightens the urgency for having ACP conversations. The shared risk for COVID-19 that all people face, new increase in cases across the United States, and the possibility of rapid decline like the two men experienced, bring urgency to ACP conversations in patients who are hospitalized or in the outpatient setting with COVID-19 or unrelated diagnoses. ACP experts recommend that all adults designate a healthcare surrogate decision-maker and complete an advance directive form.^{9,10} Clinicians may choose to base having ACP conversations on prognosis due to time constraints.¹¹ Given the high morbidity and mortality, potential for rapid decline, and many uncertainties associated with COVID-19 illness,

it would be beneficial to encourage all adult patients to discuss and document their wishes for their medical care.

ACP conversations should include eliciting patient preferences regarding care in the context of becoming seriously ill with COVID-19. This will require education for patients, surrogate decision-makers, and clinicians. Education on the possible courses and outcomes of COVID-19 illness can help patients and surrogate decision makers with ACP and making decisions in the case of a diagnosis. People who are older or have chronic medical problems, such as cardiac or lung disease, are at elevated risk for critical illness and death.^{12,13} There is high mortality among patients who become critically ill with COVID-19 and they commonly decline quickly, have long ICU stays, prolonged invasive mechanical ventilation (median duration 27 days for survivors in one prospective cohort study), and are placed in the prone position.¹² The man in his 20s critically ill with COVID-19 without prior medical problems did not survive, while the other man with chronic medical problems survived after a long ICU stay.

With disproportionate rates of critical illness and death among African Americans, Hispanics, and Native Hawaiian/Pacific Islanders, the COVID-19 pandemic has brought to the forefront existing health inequities.¹²⁻¹⁵ Thus it's especially important that clinicians discuss patient preferences with all patients in a culturally-sensitive way. ACP conversations should be approached with cultural and narrative humility, addressing cultural, social, and spiritual needs. Clinicians should maintain awareness of their own roles, expectations, and responsibilities in a patient's story.²¹ When completing ACP documents, we recommend use of culturally appropriate advance directives in a patient's preferred language whenever possible.

ACP resources with COVID-19 specific content can facilitate eliciting patient preferences. Reported barriers to having ACP conversations include clinician reluctance, lack of clinician education and resources, and heightened emotion associated with these conversations.^{2,3,5,9} Clinician and patient experience and comfort with ACP conversations vary. The following language may be helpful for patients who are young and healthy or who may be reluctant to engage in ACP:

1. *Who would you want to make decisions for you if something unexpected happened to you like you were in a serious car accident?*

2. *Have you ever talked to that person about what kind of care you would or would not want? For example, many people would not want to be hooked up to machines long term.*

3. *Most people who become very ill with COVID-19 are older, but there have been some young adults who have become very ill. I think we should talk about what might happen if at some point you become seriously ill with COVID-19.*

Here is suggested language for discussing code status with an older adult with life-limiting chronic medical problems with a diagnosis or pending results for a diagnostic test for COVID-19:

“Because of your overall health, if you become even sicker and began to die, you would be unlikely to survive CPR.”¹

If a trial of intubation is desired:

“Older adults with medical issues are unlikely to survive serious COVID-19 infection. I recommend that in the event your condition does not improve after a trial of intubation and intensive care, we shift your care to a comfort-focused approach.”¹

Additional COVID-19-specific resources for ACP and decision aids are shown in Table 1.

Changes in billing for ACP and Telehealth visits prior to and during the pandemic may facilitate ACP conversations. ACP conversations ideally involve patients and family members sharing their stories and concerns over multiple visits. Many clinicians have incorporated ACP conversations into Medicare wellness visits and have electronic medical record (EMR) prompts for this. Additionally, in 2016 the Centers for Medicare and Medicaid Services (CMS) allowed for an add-on billing code for ACP, though estimates suggest this is underutilized.^{3,7,8}

COVID-19 has catapulted telehealth into daily practice for many clinicians.²² Many patients find Telehealth visits acceptable, and in some situations preferable.^{23,24} Telehealth and telephone visits, now more easily billable, enable patients to follow-up on ACP conversations without having to return to the office. Incorporating ACP conversations into telehealth and telephone visits and dividing up these visits among members of a multidisciplinary team may help overcome the identified barrier of insufficient time during patient visits to complete ACP.⁶ A multidisciplinary approach to ACP conversations offers unique perspectives of clinicians of different disciplines, such as physicians, advance practice providers, nurses, and social workers. Telehealth also facilitates healthcare surrogate decision-makers joining the conversation if they are physically distancing during the pandemic, do not live locally, or have a busy schedule. Telehealth visits are not a solution for every patient, including our most vulnerable patients who don't have reliable access to the internet, smartphones, or tablets.

COVID-19 brings new barriers to documenting and accessing ACP documents, while old barriers persist. One study found the most frequent outcome of an ACP intervention

was ACP conversations were initiated but no ACP forms (for example, advance directive or DPOA-HC) were completed.⁶ This outcome may be even more common now due to changes in patient care during the pandemic for patients with and without a diagnosis of COVID-19, including fewer primary care visits.²⁵ Telehealth visits may be part of the solution, but other interventions are needed.

Once someone is hospitalized with COVID-19, like the patient cases described, the need for extensive personal protective equipment (PPE), minimizing exposures, and visitor restriction policies are challenges to having and documenting ACP conversations with patients and their surrogate decision makers. This not only makes completion of ACP documents technically difficult but can also create a sense of lack of humanity by emotionally distancing the clinician and the family and other loved ones from the patient.

State laws and institutional policies that complicate completion of ACP forms and lack of centralized and consistent location in EMRs for ACP information are significant barriers to completing and accessing ACP.^{2,4} There are efforts being made by EMR vendors, healthcare systems, states, and clinicians to enable easier ACP documentation and access to documented conversations and completed forms.⁴ Clinicians successfully advocated for an “Advance Directives” tab in our inpatient EMR for ACP forms and code status conversations, to more easily retrieve these documents. Additionally, during the COVID-19 pandemic our institution allowed completion of some ACP forms electronically or via fax, rather than requiring and additional in-person visit.

A larger challenge is access to ACP documents across care settings. Patients may receive primary care, specialty outpatient care, and be hospitalized in different healthcare institutions- all

with different EMRs. This complicates access to patients' medical records, including ACP documents.

One temporary fix to the challenge of accessing ACP documents across care settings is to encourage patients and their surrogate decision-makers to keep paper and electronic copies of the documents so they are available to be scanned into EMR when presenting to a new care setting. A more long-term solution to this problem is significantly more challenging and involves institutions, health systems, federal, state, and local governments working together to facilitate the flow of information across care settings without compromising patient privacy.

Conclusion:

The shared risk for COVID-19 that all people face brings urgency to ACP conversations that include eliciting patient preferences regarding care in the context of becoming critically ill with COVID-19. The pandemic has expedited changes that may facilitate ACP completion, including improved access and ability to bill for telehealth and telephone visits, and institutional policy changes to ACP form completion. However, research should assess acceptability and effectiveness of these strategies.


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Table 1. COVID-19 Specific Advance Care Planning Conversation Resources

Having the Conversation	Suggested Phrasing	Resource & Description
Setting the Context	<p>“We help individual and their healthcare agents/families talk about and plan for their care, before a medical crisis occurs. We want to know your values and preferences which will help us understand what matters most to you should you become ill due to complications of COVID-19.”¹⁶</p>	<p>Respecting Choices https://respectingchoices.org/covid-19-resources/ Information for clinicians and patients on advance care planning conversations and a decision aid for discussing CPR.</p>
Choosing a Surrogate Decision Maker	<p>“You are right to be concerned [about the coronavirus]. Here’s what you can do. Please limit your contact with others—we call it social distancing. Then you should pick a person who knows you well enough to talk to doctors for you if you did get really sick. That person is your proxy.”¹⁷</p>	<p>VITALtalk https://www.vitaltalk.org/guides/covid-19-communication-skills/ PDFs available in multiple languages.</p>  <p>Website and app (Apple or Android) with communication frameworks for goals of care and other often challenging conversations.</p>
Previous Experience with Illness	<p>“Have you ever had your own experience with serious illness or a friend or family member who was very sick or dying? When you think back, what do you think went well and what did not go well?”¹⁸</p>	<p>Center to Advance Palliative Care (CAPC) https://www.capc.org/toolkits/covid-19-response-resources/ COVID-19 Response Resources publicly available. Other resources available with membership, CME credit available.</p>
Code Status	<p>“Some people, especially those who are young and healthy, will get better with routine hospital care. But many, especially those who are older and sicker, are not likely to</p>	<p>Ariadne Labs The Conversation Project https://theconversationproject.org/covid19/</p>

	survive even with a ventilator (breathing machine).” ¹⁹	Includes the guide for patients “Being Prepared in the Time of COVID,” available in English and Spanish.
<u>Further Exploring Values</u>	<u>“People may feel very different about this now in their current health and in the future if they were to get very sick, such as if they were to get Coronavirus. Have you thought about this?”</u> ²⁰	<u>Prepare™ for your care also has free online guides in English and Spanish to help patients make a medical plan during COVID-19.</u>