

SPECIAL COMMUNICATION

Practice Rurality of Family Physicians Enrolled in a Practice Transformation Network

Robert L. Phillips, Jr., MD, MSPH, Elizabeth M. Bishop, PhD, MS,
Lars E. Peterson, MD, PhD, and Mingliang Dai, PhD

The Transforming Clinical Practice Initiative prioritized the delivery of free practice transformation assistance by Practice Transformation Networks (PTNs) to small and rural practices that may otherwise lack the resources needed to succeed in Medicare’s value-based payment (VBP) programs. We assessed the enrollment of rural practices in PTNs using 2016 TCPI enrollment data and American Board of Family Medicine recertification examination registration data from 2013 to 2016. PTNs enrolled a higher proportion of rural family medicine practices than are represented across the general workforce ($P < .0001$). We await more comprehensive data releases to fully understand enrollment to this important initiative. (J Am Board Fam Med 2018;31:952–956.)

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The Centers for Medicare and Medicaid Services’ (CMS) Transforming Clinical Practice Initiative (TCPI) is one of the largest federal investments to date designed to “support clinician practices through nationwide, collaborative, and peer-based learning networks that facilitate large-scale practice transformation”¹ and achieve the Triple Aim of improving the health of the population, improving patient experience, and reducing costs.² When launched in September 2015, the TCPI aimed to rapidly enroll 140,000 clinicians into 1 of 29 Practice Transformation Networks (PTNs). The PTNs—created by existing entities with practice transformation and quality improvement expertise,

for example, the Consortium for Southeastern Hypertension Control and the Pacific Business Group on Health—were funded to provide clinicians and their practices with free coaching and tools to help transition to (VBP) programs, specifically, Medicare’s Merit-Based Incentive Payment System and Advanced Alternative Payment Programs, for example, Comprehensive Primary Care Plus or the Medicare Shared Savings Program. The 29 PTNs covered all 50 states, but some more remotely than others. Total PTN enrollment numbers have not been released to date, but most networks reported being near full enrollment capacity by the end of 2016.

The TCPI also awarded 10 cooperative agreements to medical specialty societies and other organizations with large clinician constituents, like the American Board of Family Medicine (ABFM), to create Support and Alignment Networks (SANs) to disseminate TCPI best practices and to provide additional transformation support to PTN enrolled clinicians. The ABFM created the PRIME SAN to achieve our shared goals to: support PTNs practice transformation work and reduce the burden of family medicine certification using our PRIME Registry data tools; to provide continuous certification in recognition of the work of transformation and related quality improvement; and, to support family physicians working in “small practices, practices located in rural areas, and practices caring for med-

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From the American Board of Family Medicine, Lexington, KY.

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Corresponding author: Robert L. Phillips, Jr., MD, MSPH, Vice President for Research and Policy, The American Board of Family Medicine, 1648 McGrathiana Pkwy, Suite 550, Lexington, KY 40511 (E-mail: bphillips@theabfm.org).

ically underserved populations.”¹ Previous research provides considerable evidence that small and rural primary care practices deliver high-value care, but they lack the resources to transform their practices including the ability to collect and manage the clinical quality measure data required by VBPs.^{3–6} The PRIME SAN aimed to solve both of these problems by recruiting family physicians in small and rural practices to enroll in PTNs and by offering those practices 3 years of free enrollment in the PRIME Registry, our quality improvement and reporting tool, which is a CMS-certified Qualified Clinical Data Registry, open to all primary care clinicians.⁷ In this initial investigation, our primary objective was to assess the enrollment of small and rural practices in the TCPI by testing the hypothesis that there are a greater proportion of rural practices enrolled in PTNs than are found among nonenrolled practices. We also assessed variation in enrollment of family physicians (FPs) across states and PTNs.

Methods

Complete PTN enrollment data have not been released by TCPI, including practice addresses, making our analysis more difficult. We obtained practice size and rurality for PTN enrolled FPs through an alternate method, which also allowed us to readily create a comparison cohort of nonenrolled FPs. We did so by matching the National Provider Identifier and first and last name for 7651 PTN-enrolled FPs provided to the PRIME SAN by the TCPI data support contractor through December 2016, with the National Provider Identifiers of FPs completing a demographic questionnaire as they registered for the 2013 to 2016 ABFM Family Medicine recertification examination. This match identified our cohort of 2,886 PTN enrollees and a comparison cohort of 34,279 non-PTN FPs.

To determine rurality, we used the practice zip code self-reported in the recertification examination registration questionnaire to approximate Rural Urban Commuting Area (RUCA, version 2.0) codes, which were then collapsed into 4 categories: urban, large rural, small rural, and isolated.⁸ We created contingency tables and performed χ^2 tests to determine whether the observed difference in percentages of PTN enrolled and non-PTN enrolled FPs across practice rurality was statistically significant.

Table 1. Practice Rurality of Family Physicians Enrolled in a Practice Transformation Network (PTN)

	PTN	Non-PTN	χ^2 P Value
Sample size	2886	34,279	
Rurality (%)			
Urban	2080 (72%)	26,865 (78%)	<.0001
Large rural	351 (12%)	3642 (11%)	.01
Small rural	280 (10%)	2285 (7%)	<.0001
Isolated	138 (5%)	1085 (3%)	<.0001
Unclassified*	37 (1%)	402 (1%)	.60

The sample of this analysis included 37,165 family physicians, 2886 in PTNs and 34,279 not in PTNs, who registered for an ABFM recertification exam between 2013 and 2016.

*One percent of the zip codes did not match to RUCA.

Results

We matched 2,886 of the 34,279 ABFM examinees from 2013 to 2016 to the 7651 PTN enrolled FPs. Compared with non-PTN FPs ($n = 34,279$) registering for the ABFM examination from 2013 to 2016, FP recertification examination registrants enrolled in PTNs ($n = 2,886$) were more likely to be in rural practice (Table 1; $P < .0001$).

As of the end of 2016, 7,237 (of 7,651) PTN-enrolled FPs were ABFM certified. Concurrently, the total number of ABFM Diplomates was 87,939, which yielded a national PTN enrollment rate of 8.2% among Board ABFM-certified FPs (Table 2). Less than 1% of ABFM Diplomates in Vermont, Nevada, District of Columbia, and Wyoming were enrolled, but more than 1 in 4 FP Diplomates in South Dakota and North Dakota were enrolled (Table 2). Ten percent or more of ABFM Diplomates in 17 states were enrolled, but enrollment variation was quite large (Table 2).

Just as there was variation across states, there was similar variation across PTNs in their enrollment of FPs. For example, the Compass/Iowa Health care Collaborative PTN enrolled clinicians far beyond Iowa and had a clear mission of enrolling rural practices. Thus, Compass enrolled nearly 1 in 7 of all PTN-enrolled FPs. Four other PTNs have more than 500 FPs (in order): Great Lakes/Indiana University, National Rural Accountable Care Consortium, Vizient, and Pacific Business Group on Health/California Quality Collaborative (data not shown).

Table 2. Practice Transformation Network (PTN) Enrollment of Board-Certified Family Physicians by State, 2016

State	Total Number of Board-Certified FPs	Total Number of Board-Certified FPs in PTN	Percentage of Board-Certified FPs in PTN (in Ascending Order)
National	87,939	7237	8.2%
Vermont	298	1	0.3%
Nevada	547	3	0.5%
District of Columbia	147	1	0.7%
Wyoming	232	2	0.9%
Massachusetts	1437	28	1.9%
Maryland	1302	34	2.6%
Pennsylvania	3764	100	2.7%
Oregon	1647	44	2.7%
Texas	6664	192	2.9%
Colorado	2212	67	3.0%
Maine	700	25	3.6%
Delaware	248	9	3.6%
Florida	4383	178	4.1%
Montana	481	22	4.6%
Arkansas	878	46	5.2%
Utah	919	49	5.3%
Missouri	1497	83	5.5%
New Jersey	1333	74	5.6%
Illinois	3630	204	5.6%
Michigan	2677	151	5.6%
Oklahoma	935	54	5.8%
Ohio	3078	185	6.0%
Hawaii	427	28	6.6%
Alaska	405	27	6.7%
South Carolina	1471	104	7.1%
West Virginia	618	48	7.8%
Arizona	1551	124	8.0%
Tennessee	1651	140	8.5%
Wisconsin	2392	205	8.6%
Louisiana	979	84	8.6%
Connecticut	557	51	9.2%
Georgia	2233	208	9.3%
Alabama	1083	104	9.6%
California	10,194	981	9.6%
Kentucky	1068	109	10.2%
North Carolina	3100	318	10.3%
New York	3396	350	10.3%
Iowa	1389	144	10.4%
Rhode Island	231	27	11.7%
Minnesota	2868	366	12.8%
Washington	3278	420	12.8%
Idaho	653	90	13.8%
Virginia	2726	410	15.0%
New Mexico	703	116	16.5%
Indiana	2142	395	18.4%
Mississippi	540	100	18.5%
New Hampshire	517	98	19.0%
Kansas	1187	235	19.8%
Nebraska	798	197	24.7%
South Dakota	390	110	28.2%
North Dakota	328	96	29.3%

Discussion

This analysis is a first investigation into the penetration of TCPI technical assistance to family physicians. Our analysis of recertifying FPs enrolled in PTNs suggests that TCPI succeeded in enrolling a greater proportion of rural FP practices than the general FP workforce. While we have no reason to believe that this group of recertifying FPs systematically differs from the larger group of all board-certified FPs or nonboard-certified FPs, with regard to rurality, we anticipate verifying this in the future. The considerable variation in state enrollment of family physicians is due to a combination of things. One reason for variation is likely due to differences in focus of each PTN. Some PTNs were based in closed health systems, such as Mayo Clinic, which drew their main enrollment from their system rather than the broader, target geography. Another reason is that the recruitment timeline was shortened from its original plan, leading some PTNs to change their strategy to capturing large groups of physicians, often hospitals or health systems, which are to have rural and small practices. The third likely reason for the enrollment variation is competition from other demonstration projects or related, disqualifying practice affiliations. For example, several states participated in the Comprehensive Primary Care Plus (CPC+) payment demonstration, and many practices were already in shared-savings Accountable Care Organizations. The recruitment variation suggest that many small and rural primary care practices would still benefit from assistance in succeeding under the Quality Payment Program. Only 4 of 14 (whole-state) CPC+ states had TCPI enrollments at or above the national average, suggesting that practices may be more likely to choose payment over facilitation to support change. Policy options that come from these demonstrations will need to consider how they will reach most small practices, and whether and how to blend payment and facilitation to support transformation.

We had also desired to assess enrollment of smaller practices since they deliver high-quality care but often lack access to resources to transform and meet new reporting requirements.^{3–5} However, there is considerable evidence of family medicine practice relocation within the 3 years analyzed that, without primary data from PTNs, ABFM recertification data were not sufficiently reliable to

perform this analysis. Despite differences in most recent practice address and practice address at recertification, rural location remained 85% concordant between the 2 addresses for rural, and 98% concordant for urban. The other main limitation to this initial analysis of PTN-enrolled FPs was that we could not analyze the full sample of 7237 who are ABFM-certified because TCPI did not release address data collected by PTNs, limiting us to the 2886 we could analyze. In response to this limitation we compared this cohort to their peers (also recertified between 2013 and 2016), reducing the likelihood of systematic bias. The other minor limitation was that 414 or 5.4% of our matched sample of enrolled FPs are not currently certified by the ABFM.

Future studies will re-examine final enrollment and practice characteristics if CMS will make these data available. Future studies will also address our second aim, understanding whether enrollment in the PRIME Registry, our quality improvement and reporting tool, supported assessment of quality and cost improvement. Our ongoing goals are to improve understanding of which practice features and transformation support are associated with measurable improvement and success in value-based payment programs.

To see this article online, please go to: <http://jabfm.org/content/31/6/952.full>.

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