RESEARCH LETTER

Pediatric Auditory Brainstem Implant Surgery: A New Option for Auditory Habilitation in Congenital Deafness?

Parth V. Shah, BA, Elliott D. Kozin, MD, Alyson B. Kaplan, BA, and Daniel J. Lee, MD

Introduction: **The auditory brainstem implant (ABI) is a neuroprosthetic device that provides sound sensations to individuals with profound hearing loss who are not candidates for a cochlear implant (CI) because of anatomic constraints. Herein we describe the ABI for family physicians.**

Methods: **PubMed was searched to identify articles relevant to the ABI, as well as articles that contain outcomes data for pediatric patients (age <18 years) who have undergone ABI surgery.**

Results: **The ABI was originally developed for patients with neurofibromatosis type 2 (NF2) who become deaf from bilateral vestibular schwannomas. Over the past decade, indications for an ABI have expanded to adult patients without tumors (without NF2) who cannot receive a CI and children with no cochlea or cochlear nerve. Outcomes among NF2 ABI users are modest compared to cochlear implant patients, but recent studies from Europe suggest that some non-tumor adult and pediatric ABI users achieve speech perception.**

Conclusion: **The ABI is a reasonable surgical option for children with profound hearing loss due to severe cochlear or cochlear nerve deformities. Continued prospective data collection from several clinical trials in the U.S. will provide greater understanding on long term outcomes that focus on speech intelligibility. (J Am Board Fam Med 2016;29:286–288.)**

Keywords: **Auditory Brain Stem Implants, Deafness, Cochlear Implants, Cochlear Nucleus, Hearing Loss, Pediatrics**

Hearing loss is the most common congenital and acquired sensory deficit among children. Moderate to severe hearing loss in children has long-term social and educational consequences, making early detection and treatment essential.[1] In particular, sensorineural hearing loss (SNHL) occurs when sound is not efficiently transduced into electric potentials or when transmission of these signals to higher-order auditory centers is disrupted. The cochlear implant (CI) is the most successful neuroprosthesis for restoring SNHL and provides meaningful sound and speech perception to pediatric patients worldwide.

This article was externally peer reviewed.
Submitted 16 August 2015; revised 15 November 2015; accepted 17 November 2015.
From the Massachusetts Eye and Ear Infirmary, Harvard Medical School, Boston, MA.
Funding: none.
Conflict of interest: none declared.
Corresponding author: Elliott D. Kozin, Massachusetts Eye and Ear Infirmary, Harvard Medical School, 243 Charles St, Boston, MA 02114 (E-mail: elliott_kozin@meei.harvard.edu).

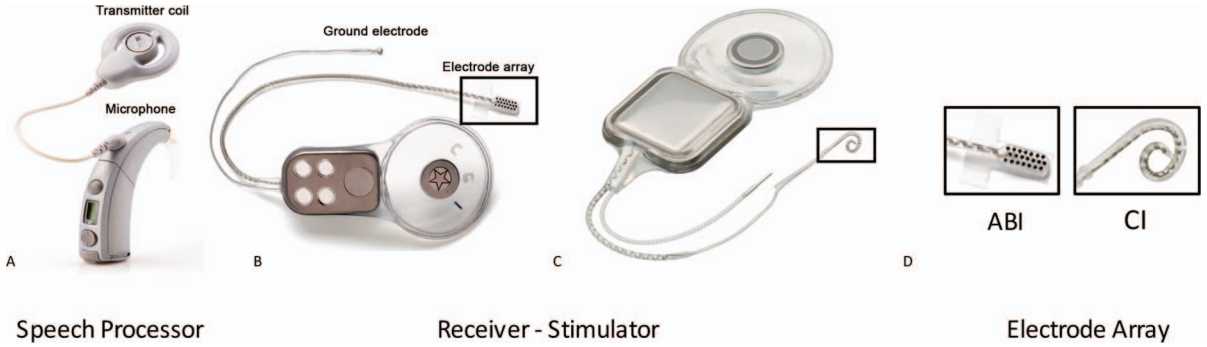
There is a small subset of pediatric patients with severe to profound SNHL loss that will not benefit from the CI due to a small or absent cochlea or auditory nerve, or scarring of the inner ear due to infection or trauma. Emerging data from the U.S. and abroad suggest that the auditory brainstem implant (ABI) can provide hearing sensations to this special cohort of deaf children who do not qualify for the CI. The CI bypasses nonfunctioning inner and outer hair cells of the cochlea to electrically stimulate the surviving first order auditory neurons called spiral ganglion cells. The ABI differs from the CI as it bypasses the damaged or absent cochlea and cochlear nerve to directly stimulate second order neurons of the auditory pathways in the brainstem called the cochlear nucleus (Figure 1).

History of the ABI

The ABI was first developed in the late 1970s by researchers at the House Ear Institute in Los Angeles.[2] Unlike a CI, ABI electrodes bypass the

J Am Board Fam Med: first published as 10.3122/jabfm.2016.02.150258 on 8 March 2016. Downloaded from http://www.jabfm.org/ on 17 November 2026 by guest. Protected by copyright.

Figure 1. A: Externally worn components of the ABI (speech processor), including the microphone and transmitter coil. B: Implanted components of the ABI, including the electrode array, ground electrode, and receiver-stimulator. C: Implanted components of the cochlear implant also include the electrode array, receiver stimulator, and ground receiver-stimulator. D. Comparison of ABI and CI electrode arrays. *Photos provided courtesy of Cochlear™ Americas, © 2009 Cochlear Americas.* ABI, auditory brainstem implant; CI, cochlear implant.



cochlea and cochlear nerve to stimulate the cochlear nucleus (auditory brainstem). The original goal of the ABI was to provide sound sensations to patients with neurofibromatosis type 2 (NF2).[2] Overall outcomes of adult ABI users are modest compared with those of CI users.[2] The majority of ABI users with NF2 have sound awareness that aids in lip-reading and sound localization, but fewer patients have speech perception compared to CI recipients.[2]

Auditory Implants among the Pediatric Population

Indications for an ABI have recently expanded to children without tumors (no NF2) but with congenital deafness who are not candidates for CI[2] (Table 1). Outcomes from Europe suggest that speech perception without lip-reading may be possible with an ABI in patients without NF2,[3] and emerging data suggest that oral language development is possible with the ABI in some children.[4] Based on these data, four separate U.S. clinical trials were initiated to determine the safety and efficacy of the ABI in the non-NF2 pediatric population. Indeed, before pediatric ABI surgery in the United States, some US-based patients without NF2 traveled to Europe to receive an ABI. A recent systematic review analyzed a total of 43 pediatric patients implanted with an ABI in 4 different studies.[5] At follow-up 5 years after ABI surgery, 47.9% of pediatric ABI users without NF2 achieved a degree of speech perception.

Table 1. Indications, Contraindications, and Complications of an Auditory Brainstem Implant[2,4]

Indications	Contraindications	Complications
Approved by the FDA	Central cause of deafness	Cerebrospinal fluid leak
Age ≥12 years with neurofibromatosis type 2	Brainstem deformity	Meningitis
Non-approved indications under clinical study	Significant medical co-morbidities	Cerebellar contusion and hemorrhage
Postmeningitis ossification of both cochleae	Low life expectancy	Permanent facial palsy
Otosclerosis	Severe developmental delay	Facial nerve palsy
Cochlear trauma		Lower cranial nerve injury
Cochlear nerve disruption		Hydrocephalus
Cochlear nerve aplasia		Cerebellar edema
Cochlear malformation		Wound infection
Auditory neuropathy (idiopathic and caused by bony entrapment)		Temporary dysphonia
		Transient facial palsy
		Balance issues
		Tinnitus
		Headache
		Device failure

FDA, Food and Drug Administration.

J Am Board Fam Med: first published as 10.3122/jabfm.2016.02.150258 on 8 March 2016. Downloaded from http://www.jabfm.org/ on 17 November 2026 by guest. Protected by copyright.

Contraindications and Complications of an ABI

Contraindications for an ABI include severe neurodevelopmental delay, central causes of deafness, and anatomic abnormalities that may result in poor performance with an ABI[4] (Table 1). ABI surgery requires a craniotomy and is associated with greater morbidity than CI surgery. One of the most common complications associated with ABI surgery is cerebrospinal fluid leakage.[5] Other potential complications of auditory brainstem implantation include meningitis, cerebellar contusion and hemorrhage, facial nerve dysfunction and device failure.[5]

Conclusion

In summary, the ABI is reasonable surgical option for children with profound hearing loss at experienced tertiary care centers, in whom a CI would not be effective due to anatomic considerations. Because the indication for an ABI in this cohort is still new, ongoing prospective studies are necessary to determine the safety and long-term efficacy of the ABI in children.

References

1. Russell JL, Pine HS, Young DL. Pediatric cochlear implantation: expanding applications and outcomes. Pediatr Clin North Am 2013;60:841–63.
2. Lin HW, Hermann BS, Lee DJ. Auditory brainstem implants. In: Ruckenstein MJ, ed. Cochlear implants and other implantable hearing devices. San Diego, CA: Plural Publishing; 2012. p. 317–48.
3. Colletti L, Zoccante L. Nonverbal cognitive abilities and auditory performance in children fitted with auditory brainstem implants: preliminary report. Laryngoscope 2008;118:1443–8.
4. Sennaroglu L, Ziyal I. Auditory brainstem implantation. Auris Nasus Larynx 2012;39:439–50.
5. Noij KS, Kozin ED, Sethi R, et al. Systematic review of non-tumor pediatric auditory brainstem implant outcomes. Otolaryngol Head Neck Surg 2015;153:739–50.

J Am Board Fam Med: first published as 10.3122/jabfm.2016.02.150258 on 8 March 2016. Downloaded from http://www.jabfm.org/ on 17 November 2026 by guest. Protected by copyright.