

# A Handheld Computer Smoking Intervention Tool and Its Effects on Physician Smoking Cessation Counseling

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**Objective:** The objective of this study was to evaluate a handheld computer smoking cessation intervention tool designed to assist physicians in their smoking cessation counseling with patients.

**Methods:** This study used a pre/post survey design, with a 4-month trial period for the software. Study participants included 22 faculty and resident physicians from the University of Virginia. Paired samples *t* tests were used to assess mean differences in the 4 main subscales (physician behavior, attitudes, comfort related to counseling patients about smoking cessation, and knowledge).

**Results:** No statistically significant mean differences were found for physician behavior (mean increase = 0.44, *P* = .55) or physician attitude (mean increase = 0.44, *P* = .16). A statistically significant mean increase of 2.29 was observed for the physician comfort subscale (*t* = 3.87, *df* = 16, *P* = .001). Physicians indicated improved comfort in counseling patients about smoking cessation (*P* = .007) and improved comfort in using the Public Health Service Clinical Practice Guidelines (*P* = .012).

**Conclusion:** Physician comfort level in counseling patients about smoking cessation can be improved through handheld computer software. When used in conjunction with other practice modifications, this tool has the potential to improve physician smoking cessation intervention practices. (J Am Board Fam Med 2006;19:350–7.)

## Background

It is well established that smoking is the leading cause of preventable disease and death in the United States.<sup>1</sup> In addition, health care professionals have the opportunity to intervene with patients who smoke, as at least 70% of smokers visit a physician annually,<sup>2</sup> and even brief smoking cessation counseling (less than 3 minutes) by physicians is effective.<sup>3,4</sup> Despite this opportunity for a posi-

tive health intervention, tobacco cessation counseling only occurs at 23% to 46% of primary care office visits,<sup>5,6</sup> and physician smoking cessation counseling and treatment continues to fall short of national health promotion objectives.<sup>5,7</sup>

A variety of barriers are probably contributors to limiting physician smoking intervention practices. These barriers include time constraints of appointments, lack of physician confidence in counseling smokers, and lack of physician knowledge regarding smoking cessation guidelines.<sup>8–12</sup>

In addition, many physicians are unaware of the national Treating Tobacco Use and Dependence Guidelines published by the Public Health Service,<sup>13</sup> and they may not be aware of different available pharmacotherapies (especially newer ones and second-line treatments), dosing for smoking cessation, or available valid and reliable instruments, such as the Fagerström Test for Nicotine Dependence (FTND), which can be used to improve smoking cessation interventions.<sup>14–16</sup> By making relevant and valid medical information readily available to physicians at the point of care, physician knowledge and comfort in applying the guidelines may improve. This, in turn, may lead to improved smoking cessation counseling behavior

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**Conflict of interest:** The Handheld Computer Smoking Intervention Tool software was developed by Dr. Strayer in partnership between the University of Virginia Department of Family Medicine and the National Cancer Institute. The authors have no other competing interests to declare.

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(through increased self-efficacy) and ultimately, improved patient outcomes.

One means of providing medical information to physicians is to incorporate it into a guided information system that is portable, convenient, allows rapid access, and is intuitive for the user. Handheld computers provide an ideal platform for this kind of information system because they enable rapid access to large amounts of information in a portable format. Handheld computers are used widely by physicians at the point of care to look up reference information (eg, guidelines and drug dosing), clinical calculations, tracking procedures performed and billing.<sup>17</sup> Although handheld sales among the general population have tapered recently, it is estimated that one in 2 physicians use a handheld computer and they are 5 times more likely to carry them than average citizens.<sup>18</sup>

There is emerging evidence that handheld computers may be an effective modality in improving patient outcomes. A recent study completed in a critical care unit demonstrated that handheld computer-based decision support reduced lengths of stay for patients and also reduced antibiotic prescribing.<sup>19</sup> Office-based studies with handheld computers have shown increased adherence to asthma guidelines,<sup>20</sup> potential reduction in adverse drug effects,<sup>21</sup> improved antibiotic prescribing for otitis media in children,<sup>22</sup> and reduced inappropriate use of antibiotics for upper respiratory infections.<sup>23</sup> In addition, a recent Robert Wood Johnson Foundation initiative, the Prescription for Health Project, was undertaken to improve patient care through innovative approaches and technologies. Several of the projects reported promising pilot results in addressing tobacco use, unhealthy diets, sedentary lifestyles, and alcohol misuse using handheld computers.<sup>24</sup>

The objective of this study was to evaluate a handheld computer smoking cessation intervention tool designed to assist physicians in their smoking cessation counseling with patients. The tool provides easy access to a variety of relevant and valid smoking cessation information and can be used by physicians at the point of care.

## Methods

### *Description of the Handheld Computer Smoking Intervention Tool (HCSIT)*

The HCSIT was designed to assist clinicians with smoking cessation counseling of patients at the

point of care and included the Public Health Service Guidelines on Smoking Cessation (including various options for smoking cessation interventions and smoking cessation counseling tips), smoking cessation drug prescribing information (including prescription information for the Commit lozenge and second-line pharmacotherapy), and the FTND questionnaire that scored automatically and recommended pharmacotherapy for highly dependent smokers. A sample of the main page screen shot can be seen in Figure 1. The design of the tool enabled users to skip to the elements that would be most useful to them during the patient encounter.

Sample screen shots pertaining to the FTND can be found in Figures 2 and 3. Users were guided through the FTND using a series of screen shots that addressed each of 6 questions. Once the questions were completed, the tool tabulated the responses and generated specific intervention recommendations based on the level of dependency (Figure 3). When medication therapy was strongly indicated, common prescription information was provided to assist the physician with dispensing the appropriate medication (Figure 4). In addition, the software contained embedded log files to track frequency of use and content accessed via time and date stamps.

This software was developed in partnership between the University of Virginia Department of Family Medicine and the National Cancer Institute, in accordance with the Public Health Service guidelines.<sup>11</sup> The program can be used with both Palm OS and Microsoft Pocket PC handheld computers and can be downloaded at (<http://www.smokefree.gov/hp-hcsit.html>).

### *Study Design*

This study used a pre/post survey design. The survey was developed by the authors and was adapted from 2 surveys that were developed at other academic institutions to assess smoking cessation counseling by health care workers (see Table 1). Face and content validity of the survey were assessed with a pilot sample of 40 physicians from a separate university in Missouri. The pre/post survey included participant demographic information, including computer literacy, age, gender, and professional status (eg, resident, faculty) and 4 subscales that measured self-reported physician behavior, attitudes, comfort related to counseling patients about smoking cessation, and knowledge



Figure 1. HCSIT Main Screen (A); HCSIT Main Screen (scroll down) (B)

of the Public Health Service guidelines. The post-survey also included a 4-item software usability questionnaire. Additional data on usability were obtained by conducting a group semistructured interview after completion of the postsurveys. During this session, participants were asked if there was any additional software content they would like to see added and they were asked if they had any difficulties with the software or hardware.

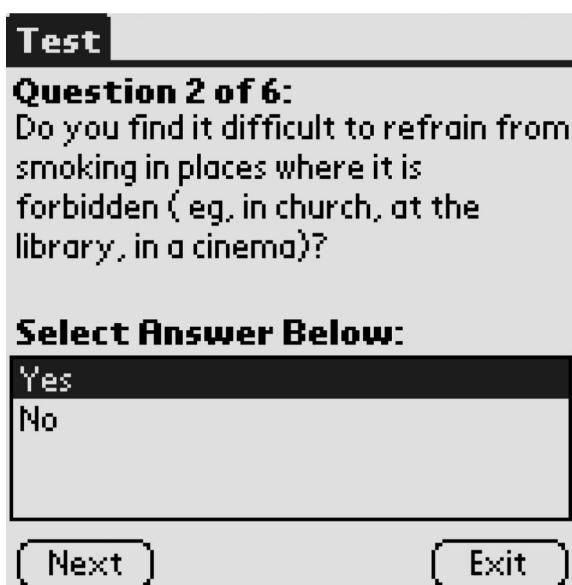


Figure 2. Sample Fagerström Nicotine Dependence Question

Study participants included 23 faculty and resident physicians from the Department of Family Medicine at the University of Virginia (UVA) Health System. These participants were selected from an initial pool of 33 faculty and resident physicians within the UVA Department of Family Medicine through a handheld usage survey. Inclusion criteria for clinicians to participate in the study were self-reports of using their handheld computers at least several times per week for at least one of

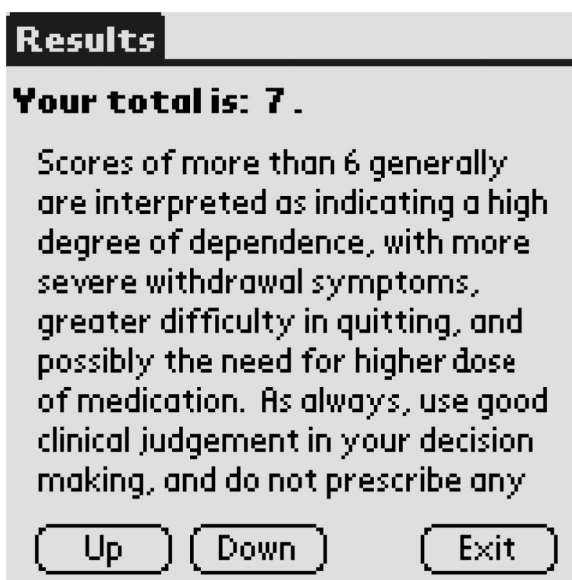
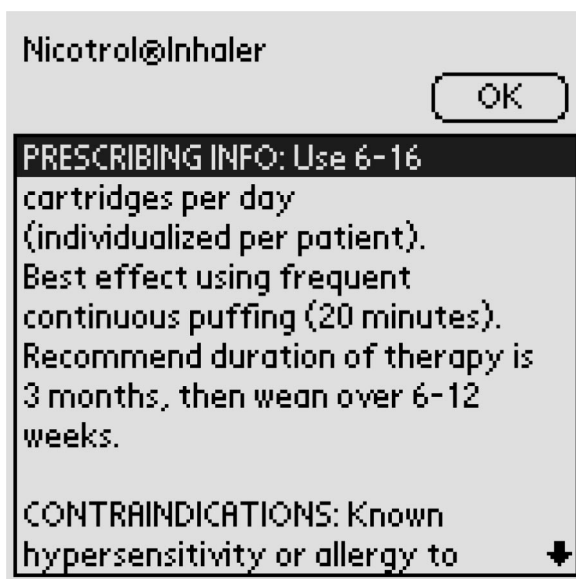


Figure 3. Fagerström Dependency



**Figure 4. Drug Prescribing Information**

the following functions: documentation of procedures performed, checking medication dosage, checking medication interactions, checking medical references (eg, 5 Minute Clinical Consult, Merck Manual, others), medical calculations (eg, ABG), patient billing, scheduling/appointments (personal), scheduling/appointments (patients), memo pad, to-do list, addresses/phone numbers, ob calculations/tracking, recording inpatient/outpatient data, or any “other” functions that were self-reported.

The study was conducted with patients in the Department of Family Medicine’s Primary Care Center and 2 affiliated rural clinics. The center is staffed by 11 faculty physicians and is the main practice site for residents. Annual patient volume is approximately 25,800 and includes patients of all ages, with a diverse ethnic makeup that includes 51% minorities. Payor mix includes 44% Medicare and Medicaid. One affiliate practice, Stoney Creek, is located in a Health Professions Shortage Area and has a patient volume of approximately 13,400 patients per year including patients of all ages, with minorities comprising 15%. Payor mix includes 32% Medicare and Medicaid at this site. The second affiliate practice, Crossroads Family Practice, has a patient volume of approximately 5600 patients per year including patients of all ages with minorities comprising 27%. The payor mix at this site includes 33% Medicare and Medicaid.

The 23 eligible participants were administered a presurvey. After a 30-minute education session about how to use the software, the HCSIT was provided to participants for a 4-month trial period, after which the postsurvey was administered. The education session included information on how to use the handheld program and highlighted the various topics and information available. In addition, physicians were asked to use the program in their regular clinics to assist with smoking cessation counseling.

Paired samples *t* tests were used to assess mean differences in the 4 main subscales (physician behavior, attitudes, comfort related to counseling patients about smoking cessation, and knowledge) from pretest to posttest. An  $\alpha$  level of 0.05 was used to determine statistical significance for each of the *t* tests and a Bonferroni correction procedure was applied to account for the inflation in type 1 error due to multiple significance tests. All analyses were run using SPSS for Windows version 11.

## Results

A total of 22 of 23 participants completed the pre/post survey, for a response rate of 96%. Of the pre/post responders, 15 were faculty and 8 were residents. The one nonresponse was due to the person moving from the area. Participants’ ages ranged from 27 to 54. Seventy-four percent were male, and 65% reported their handheld computer literacy as intermediate on a 3-point scale from novice to expert. Reliability of the HCSIT survey subscales were the following: physician behavior = 0.74; physician attitude = 0.52; physician comfort = 0.71; usability of software = 0.85; knowledge = 0.23. Cronbach’s  $\alpha$  was used for the first 4 subscales. The KR-20 was used for the knowledge subscale because the items were dichotomous. Of note is the fact that the reliability estimate for the knowledge subscale was negative. The occurrence of a negative reliability coefficient raises the possibility that sampling error was responsible for a negative average covariance among the scale items for this sample of cases. The limited number of items comprising the subscale and the small sample size also lend support for these findings.

No statistically significant mean differences were found for physician behavior (mean increase = 0.44,  $P = .55$ ), physician attitude (mean increase = 0.44,  $P = .16$ ), or physician knowledge

**Table 1. Pre/post Survey Measuring Self-reported Physician Behavior, Attitudes, Comfort, and Knowledge**

1. How would you describe your hand-held computer literacy?							
a. Novice		b. Intermediate		c. Expert			
2. What percentage of your patients smoke? (Please check one)							
<input type="checkbox"/> <10%	<input type="checkbox"/> 10%	<input type="checkbox"/> 20%	<input type="checkbox"/> 30%	<input type="checkbox"/> 40%			
<input type="checkbox"/> 50%	<input type="checkbox"/> 60%	<input type="checkbox"/> 70%	<input type="checkbox"/> 80%	<input type="checkbox"/> 90%	<input type="checkbox"/> >90%		
3. How often do you:							
a. Ask patients if they smoke?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
b. Advise smokers to quit?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
c. Assess smokers' willingness to quit?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
d. Assist smokers in their attempt to quit?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
e. Arrange follow-up with patients who want to quit?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
4. How often do you:							
a. Provide counseling for patients who want to quit smoking?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
b. Have sufficient time available with smoking patients to counsel them on initiating and sustaining a smoking cessation plan?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
c. Provide information on quitting such as pamphlets, videos, or online resources							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
d. Recommend pharmacotherapy for your patients who want to quit?							
<input type="checkbox"/> Never		<input type="checkbox"/> Sometimes		<input type="checkbox"/> Often		<input type="checkbox"/> Always	
5. If you recommend pharmacotherapy, what do you recommend? (Check all that apply)							
<input type="checkbox"/> Nicotine Patch		<input type="checkbox"/> Patch		<input type="checkbox"/> Inhaler		<input type="checkbox"/> Nasal spray	
<input type="checkbox"/> Bupropion (Wellbutrin/Zyban)							
6. I have a specific intervention plan(s) I use with patients who want to quit smoking.							
<input type="checkbox"/> Yes				<input type="checkbox"/> No			
7. Are you familiar with the Public Health Service Clinical Practice Guidelines on smoking cessation?							
<input type="checkbox"/> Yes				<input type="checkbox"/> No			
8. The importance I place on identifying patients who smoke is							
1	2	3	4	5	6	7	
Very unimportant						Very important	
9. The importance I place on counseling patients who smoke is							
1	2	3	4	5	6	7	
Very unimportant						Very important	
10. How comfortable are you counseling patients about smoking cessation?							
1	2	3	4	5	6	7	
Very uncomfortable						Very comfortable	
11. How comfortable are you with the Public Health Service Clinical Practice Guidelines?							
1	2	3	4	5	6	7	
Very uncomfortable						Very comfortable	
12. What is your comfort level with the pharmacological therapies available to aid smokers with quitting smoking?							
1	2	3	4	5	6	7	
Very uncomfortable						Very comfortable	
13. How comfortable are you following patients who have initiated a smoking cessation plan to help them sustain their plan?							
1	2	3	4	5	6	7	
Very uncomfortable						Very comfortable	

(Table continues)

**Table 1. Continued**

14. My comfort level counseling patients about smoking cessation was enhanced by using the HCSIT.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree
15. My comfort level with pharmacological therapies available to aid smokers with quitting smoking was enhanced by using the HCSIT.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree
16. My comfort level with the Public Health Service Clinical Practice Guidelines was enhanced by using the HCSIT.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree
The following questions apply to the HCSIT:						
17. I found the HCSIT tool easy to use.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree
18. I liked the way the HCSIT tool was organized on the hand held computer.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree
19. I found the HCSIT tool easy to understand.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree
20. The HCSIT tool was fast enough to use/access in the clinical encounter.						
1	2	3	4	5	6	7
Strongly disagree						Strongly agree

HCSIT, hand-held smoking cessation intervention tool.

(mean increase = 0.07,  $P = .584$ ) from pretest to posttest. However, a statistically significant mean increase of 2.29 was observed for the physician comfort subscale from pretest to posttest ( $t = 3.87$ ,  $df = 16$ ,  $P = .001$ ). The comfort subscale was composed of 4 items as shown in Table 2. Physicians indicated improved comfort in counseling patients about smoking cessation ( $P = .007$ ) and improved comfort in using the Public Health Service Clinical Practice Guidelines ( $P = .012$ ).

Twenty of the 22 respondents (91%) reported using the software. Actual user data were obtained for 14 of 22 physicians. Other user data were not available because of hardware and software failures. The program was used 150 times by these 14 providers, representing an average of 10.7 uses per

physician. The most commonly used sections of the program were the smoking dependency assessment (14 uses), Commit prescribing information (12 uses), motivational smoking interventions (11 uses), and the treatment guidelines overview (11 uses).

Physicians found the HCSIT tool easy to use (mean = 4.85), well organized (mean = 4.95), easy to understand (mean = 5.25), and fast enough for use in a clinical encounter (mean = 4.45). These questions were scored using a 7-point numeric scale (1 = strongly disagree; 7 = strongly agree). From the semistructured interview, additional content that was requested included: pregnancy and lactation information for smoking cessation pharmacotherapy; identification of the various drugs (eg, patch, inhaler, etc); and more scripting of the

**Table 2. Comfort Subscale\***

Item	Mean Pretest Score	Mean Posttest Score	<i>P</i> Value
Counseling patients	5.32	5.95	$P = .007$
Public Health Service guidelines	3.2	4.35	$P = .012$
Smoking cessation drugs	5.73	5.64	$P = .605$
Following patients who quit	5.14	5.55	$P = .119$

\* Comfort was assessed using a 7-point Likert scale with 1 representing 'very uncomfortable' and 7 representing 'very comfortable.'

interventions was suggested. There were no reported hardware or software issues related to the HCSIT program.

## Discussion

Excellent evidence-based guidelines for smoking cessation counseling by physicians have been available for nearly 2 decades. The most recent guidelines were published in the year 2000 and included a systematic review of nearly 6000 articles.<sup>13</sup> Yet, despite this availability of clinical evidence, agencies such as the National Cancer Institute (NCI) and the Agency for Health Research and Quality (AHRQ) have struggled with translating guidelines into clinical care. In the 1980s, the NCI spent nearly \$10 million on distributing smoking cessation guidelines with little effect on physician practices.<sup>25</sup> Similar approaches by AHRQ have met with limited success. This is consistent with other published studies that examine the lag time between the availability of clinical evidence and its actual utilization in practice, with estimates ranging from a minimum of 1 to 2 years to 13 years.<sup>26,27</sup> The approach described in this study begins to address this difficult issue of translating smoking cessation guidelines into clinical practice by providing the relevant information to physicians at the point of care in a format that is portable, easily accessible, and convenient.

Our approach is the first known study that measures changes in physician behavior, attitude, comfort level toward smoking cessation counseling, and knowledge as a result of using a handheld computer software program at the point of care. Results indicated that physician comfort level in counseling patients about smoking cessation could indeed be improved through the utilization of specialized computer software, even among experienced clinicians. When used in conjunction with other widely available practice modifications, this tool has the potential to improve physician smoking intervention practices. Complementary practice modifications could include: using smoking as a vital sign in the patient's clinical record; Internet-based, patient-tailored self-help materials such as the American Academy of Family Physician's patient smoking cessation guides ([www.aafp.org](http://www.aafp.org)) or the NCI's "Clearing the Air: Quit Smoking Today" ([www.smokefree.gov](http://www.smokefree.gov)); and toll-free quit lines (such as the NCI's 1-800-Quitnow). The methodology of de-

livering medical information through handheld computer technology also holds promise because of the widespread use of handheld computers by physicians; because up to 50% of physicians currently use handheld computers.<sup>18</sup>

There are some limitations to this study. The reliance on a pre/post design cannot account for other practice changes and possible confounding variables. In addition, this design was dependent on physician self-report, thereby making it susceptible to over-reporting because of social desirability and recall bias. Finally, the sample size is small and limited to family physicians that have high self-reported handheld computer usage and moderate to high computer skills, thereby limiting generalizability. This group was purposefully chosen to obtain usability data and to further refine the software program for future interventional studies among medical students and with less experienced clinicians. The small sample size also limits our ability to determine whether the tool had an effect on actual physician behavior, thus raising the possibility of a type II error. However, we were encouraged that there was a trend toward increasing the desired behaviors and improving the attitudes among these physicians toward smoking cessation counseling.

## Conclusion

The use of guidelines and other medical information adapted for handheld computers holds promise in affecting physician behavior at the point of care. This study demonstrated improved physician comfort levels in providing smoking cessation counseling as a result of using a smoking intervention tool designed specifically for handheld computers. We would recommend further evaluation of this software in larger samples, including other primary care specialties (eg, general internal medicine) and other health care providers to determine generalizability, along with measuring patient outcomes. In addition, more research is needed to determine what combination and type of continuing medical education, resources, and tools will be the most helpful in improving physician smoking intervention practices.

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