# **ORIGINAL RESEARCH**

# Burnout, Depression, Anxiety, and Stress Among Family Physicians in Kansas Responding to the COVID-19 Pandemic

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*Introduction:* COVID-19 has spread rapidly, with vast global implications. This study assessed how family physicians in Kansas were responding to COVID-19 and the effects of the pandemic on their well-being.

*Methods:* The authors conducted a cross-sectional survey of 113 family physicians in Kansas between May 22, 2020 and June 25, 2020. The study participants completed an anonymous, 36-item survey assessing their concerns about being exposed to COVID-19 and levels of personal depression, anxiety, stress, and burnout in addition to demographic information.

**Results:** There was a 45.6% response rate, with 50.4% (n = 57) of the respondents reporting manifestations of burnout. The physicians who personally treated any presumptive or confirmed COVID-19 patient, compared with those who did not, were more likely to report at least 1 manifestation of burnout, experience emotional exhaustion, and feel a higher level of personal stress.

*Conclusion:* Our findings demonstrate that the COVID-19 pandemic may be taking an emotional toll on family physicians in Kansas. This study provides a baseline from which to continue further monitoring of outcomes. Data can help drive initiatives at local, state, and national levels to help diminish the negative impact of the COVID-19 pandemic on physicians. (J Am Board Fam Med 2021;34:522–530.)

*Keywords:* COVID-19, Cross-Sectional Studies, Family Medicine, Family Physicians, Kansas, Mental Health, Occupational Burnout, Pandemics, Surveys and Questionnaires

#### Introduction

Since its introduction into the human population in late 2019, the novel coronavirus infectious disease (COVID-19) has spread rapidly, with vast global implications. The outbreak was designated a pandemic by the World Health Organization (WHO) on March 11, 2020.<sup>1</sup> By November 24, 2020, over 58 million total confirmed cases and 1,388,528 total deaths had been reported globally, with the United States alone accounting for 12,175,921 confirmed cases and 255,958 deaths.<sup>2,3</sup> The number of cases began to rise sharply in Midwestern states during November, affecting more small towns and rural areas, with daily case rates surpassing 4600 per 100,000 population in the state of Kansas, compared with just over 2000 per 100,000 at the beginning of October 2020.<sup>3</sup> As the world continues to struggle with the widespread infection, it is important to consider the short-term and long-term effects on the health care system and workforce.

Before the COVID-19 pandemic, the risk of burnout and stress-related problems such as depression and anxiety in physicians had been identified as an important area of public health concern. As compared with the general population, physicians are at higher risk of experiencing symptoms of burnout as well as dissatisfaction with work-life balance.<sup>4</sup> Among different specialties, physicians practicing family medicine tend to have higher rates of burnout symptoms, along with those in emergency medicine and internal medicine.<sup>4</sup> In addition,

This article was externally peer reviewed.

Submitted 6 October 2020; revised 28 November 2020; accepted 30 November 2020.

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Funding: None.

Conflict of interest: None.

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burnout among family physicians has been shown to have notable variation by state, indicating the need to further assess trends in specific regions.<sup>5</sup> In Kansas, previous literature has identified the significant presence of burnout in the rural practice setting, which is notable given the geographic nature of the state.<sup>6</sup> Nearly one half of Kansas physicians experience symptoms of burnout, such as emotional exhaustion, cynicism, and reduced professional efficiency, and are more likely to report thoughts of suicidal ideation, screen positive for depression, and report high degrees of fatigue.<sup>7,8</sup>

The implications of burnout in clinicians are well documented. Physicians with burnout are more likely to experience motor vehicle accidents, mood disorders, and substance and alcohol abuse.<sup>9</sup> Furthermore, studies have shown that burnout is associated with an increased risk of medical errors and malpractice, with decreases in quality of care, productivity, and patient satisfaction.<sup>10,11</sup> Health care professionals experiencing burnout and other forms of emotional distress have a stronger intention of leaving the medical profession via early retirement and/or career change.<sup>12,13</sup> Physician wellness has become an increasingly vital topic given both the personal and professional implications.

Health care professionals, including those in primary care specialties such as family medicine, are among those working the front lines in response to the COVID-19 pandemic. Previous literature has shown the association of infectious disease outbreaks with adverse effects on the mental health of health care workers.14-16 Initial studies have shown that this concern has emerged with the COVID-19 outbreak, and additional research is warranted given the unprecedented, enduring nature of this pandemic.17-19 Primary care physicians are at risk of encountering additional stressors given the significant changes to clinical practice as a result of COVID-19, such as the financial impact associated with decreases in routine medical visits and shifts to telemedicine. Given that the global community continues to be affected by the worsening spread of COVID-19, it is prudent to assess the effects on physician well-being and to ensure appropriate programs are in place to provide emotional, mental health, and social support for health care workers. The purpose of this study was to assess the effects of the COVID-19 pandemic on burnout and other types of emotional distress among family physicians in Kansas, many of whom are members of the University of Kansas School of Medicine Department of Family and Community Medicine's practice-based research network. These family physicians work collaboratively through research and quality improvement activities to improve the health of Kansans.

# Methods

#### Study Design

The study was a cross-sectional survey of family physicians in Kansas. The authors surveyed community-based family physicians as well as faculty physicians in Kansas. The questionnaire focused on how family physicians in Kansas were responding to the COVID-19 pandemic at the time of the study from May 22 to June 25, 2020. The University of Kansas School of Medicine-Wichita (KUSM-W) Institutional Review Board granted exemption for the study.

# Study Instrument

We used a 36-item questionnaire (see the Appendix) to assess family physicians' concerns about being exposed to COVID-19 and levels of burnout and personal depression, anxiety, and stress. The questionnaire included items on demographic information (age, gender, years in clinical practice, and the Kansas county where the physicians primarily practiced), whether the physicians had treated presumptive or confirmed COVID-19 patients, the number of presumptive or confirmed COVID-19 patients, the number of presumptive or confirmed COVID-19 patients, the number of presumptive or confirmed COVID-19 patients treated, place (office, home visits, emergency department, hospital, long-term care facility, telehealth) the COVID-19 patients were treated, and patient volumes before and during the early phase of the pandemic.

# Burnout

Manifestations of burnout among the respondents were assessed using 2 single-item measures of emotional exhaustion and depersonalization adapted from the full Maslach Burnout Inventory (MBI-22), which have been previously validated.<sup>20</sup> The emotional exhaustion item ("I feel burnout from my work") and depersonalization item ("I've become more callous toward people since I became a physician") have been shown to be useful screening questions for burnout.<sup>21–23</sup> These 2 items have shown the highest factor loading<sup>20,24,25</sup> and strongest correlation<sup>21,26</sup> with their respective emotional exhaustion and depersonalization domains in the MBI-22.<sup>21</sup> The 2 single items have been used in previous studies to measure emotional exhaustion, depersonalization, and manifestations of burnout among physicians.<sup>4,26–29</sup> The physicians recorded the degree to which each item applied to themselves on a 7-point Likert scale (0 = never, 6 = every day). The scores of each item were classified into low, moderate, and high burnout categories using established cutoffs.<sup>4,20,27,29</sup> Higher scores are indicative of greater exhaustion and depersonalization and higher levels of burnout. Consistent with convention,<sup>4,27,29</sup> we considered physicians who scored high (score of greater than 3) on exhaustion and/or depersonalization domains as having at least 1 manifestation of professional burnout.

#### Depression, Anxiety, and Stress

We used the Depression Anxiety Stress Scales-21 (DASS-21) to measure the respondents' emotional state. DASS-21 is a validated research tool that has been used widely to assess quality of life and consists of 21 questions in 3 scales designed to measure negative emotional states of depression, anxiety, and stress.<sup>30,31</sup> These scales have been found to have high internal consistency and can be used in a variety of settings to measure an individual's current emotional state and changes over time.32 Participants recorded how much a statement applied to them over the past week on a 4-point Likert scale (0 = never, 3 = almost always). Scores for the 7 questions specific to each of the 3 scales were summed with a possible score ranging from 0 to 21. Higher scores indicate greater levels of the corresponding emotional state.

We also assessed the physicians' self-reported stress surrounding COVID-19 using the following 4 questions: "What is your level of concern about personally being exposed to COVID-19 because of your work?"; "What is your level of concern about exposing family members to COVID-19 because of your work?"; "What was your level of stress before the COVID-19 pandemic?"; and "What is your level of personal stress as a result of the COVID-19 pandemic?"

#### **Data Collection Process**

The questionnaire was hosted in SurveyMonkey, a secure web-based survey system. A generated link to the 36-item questionnaire was sent via e-mail to potential participants. The Department of Family and Community Medicine (DFCM) of the KUSM-W uses an e-mail system called FM-RADIO (Family Medicine Research and Data, Information and

Outcomes Practice-Based Research Network) as a survey collection tool. The FM-RADIO is an electronic practice-based research network composed of actively practicing family physicians throughout the state of Kansas who are KUSM-W family medicine residency program graduates, family physician non-KUSM-W graduates, faculty physicians, and resident physicians. The link to the survey was sent only to the 248 actively practicing community physicians and KUSM-W family medicine faculty physicians who were on the FM-RADIO list. Participation was voluntary, and responses were anonymous. We later sent 2 reminders to those who had not completed the survey. Data collection occurred between May 22 and June 25, 2020. No compensation was provided to participants.

# Statistical Analyses

Standard descriptive statistics were used to create a demographic profile and describe the levels of personal depression, anxiety, stress, and burnout among the physicians. Mann-Whitney U test/independent samples t-test (for continuous variables) and likelihood ratio chi square (for categorical variables) were used to evaluate associations between variables. Generalized linear mixed models were used to calculate associations between the responses to the question "Have you cared for presumptive or confirmed COVID-19 patients in your practice?" modeled as a binary outcome (yes/no) against a single fixed effect for independent variables (DASS-21, burnout, emotional exhaustion, depersonalization, age, gender, and years in clinical practice).

Adjusted odds ratios were estimated by modeling all significant independent variables against the responses to the question "Have you cared for presumptive or confirmed COVID-19 patients in your practice?" controlling for physicians' age, gender, and years in clinical practice. A sample size of 100 was calculated as necessary for adequate power (> 0.85) to detect significant relationships among the variables with 1 degree of freedom, P < .05, and 0.5 effect size.<sup>33,34</sup> All analyses were 2-sided with  $\alpha$  of 0.05. The IBM SPSS (Statistical Package for the Social Sciences), version 26 was used for these analyses.

# Results

# **Respondent Characteristics**

The response rate was 45.6% (113/248). Table 1 represents the demographic information of the

Table 1.	<b>Respondents'</b>	Characteristics	(n =	113)
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Characteristics	All Respondents	
Gender, no. (%)		
Male	53 (46.9)	
Female	43 (38.1)	
Prefer to not answer	1 (0.9)	
Missing*	16 (14.2)	
Age	(n = 95)	
Mean (SD), y	48.4 (11.9)	
Median	49	
Minimum	28	
Maximum	70	
Year in clinical practice	(n = 88)	
Mean (SD), y	16.7 (11.8)	
Median	17.5	
Minimum	<1	
Maximum	44	
Kansas county where the physicians primaril practiced, no. (%)	У	
Butler	5 (4.4)	
Saline	6 (5.3)	
Sedgwick	39 (34.5)	
All other counties	45 (39.8)	
Missing*	18 (15.9)	
Cared for preventive or confirmed COVID-19 patients, no. (%)		
Yes	91 (80.5)	
No	22 (19.5)	

\*The number of participants who completed the survey but did not provide an answer to this specific question.

SD, standard deviation.

respondents. The average age of respondents was 48.4 (SD = 11.9); 46.9% were male; 34.5% indicated practicing primarily in Sedgwick County; all others practiced in 30 counties. Most of the respondents (80.5%) reported that they had treated a presumptive or confirmed COVID-19 patient (Table 1). There was a 6.8% margin of error at a 95% confidence level between the study sample and the population of all practicing family physicians in Kansas, demonstrating that our sample generally represented the population of the practicing family physicians in Kansas.<sup>35</sup> As Table 2 shows, there was a wide range (74) of the number of COVID-19 patients who had been treated by family physicians at the time of the study, with an average number of 5.7 (SD = 10.9). About a third of the COVID-19 patients required hospitalization.

#### **Concerns about Patient Volume**

Most of the respondents (66%, n = 74) reported seeing fewer patients as the outbreak progressed, 31% (n = 35) reported seeing about the same number of patients, and only 3% (n = 3) reported seeing more patients. Most of the respondents (83%, n =93) were concerned that some of their patients may be forgoing routine or acute medical care because the patients were afraid of being exposed to COVID-19 in a physician office or other clinical settings.

#### Concern about Exposure to COVID-19

On a scale of 0 to 10, where 10 represents very concerned, the median level of concern about personally being exposed to COVID-19 as a result of their work was 5 (mean = 5.0, SD = 3.0), while the median level of concern about exposing family members to COVID-19 as a result of their work was 6 (mean = 6.4, SD = 2.8).

#### Burnout, Depression, Anxiety, and Stress

In aggregate, 50.4% (n = 57) of all respondents met the criteria for manifestations of burnout. Manifestations of burnout were significantly higher in respondents who personally treated any presumptive or confirmed COVID-19 patients, compared with those who did not personally treat any

# Table 2. Number and Places Where COVID-19Patients Were Treated (n = 91)\*

Measure	Participants
COVID-19 patients treated	
Mean (SD)	5.7 (10.9)
Median	2
Minimum	1
Maximum	75
Places where COVID-19 patients treated, no. (	(%)
In office	24 (26.4)
At the hospital	35 (38.5)
Via telehealth	13 (14.3)
Emergency room	1 (1.1)
Long-term care facility	1 (1.1)
Other	2 (2.2)
Missing <sup>†</sup>	15 (16.5)

\*Table included only physicians who treated COVID-19 patients.

<sup>†</sup>The number of participants who completed the survey but did not provide an answer to this specific question. SD, standard deviation.

Table 3.	Odds Ratios for Independent	Variables and Whether	r Physician Cared fo	or Presumptive or Confirmed
<b>COVID-1</b>	19 (n = 113)			

Variables	Odds Ratio (95% CI)	P Value	
Gender (n = 95)			
Male vs female	1.58 (0.58 to 4.32)	>0.05	
Age (for each additional year older) ( $n = 95$ )	1.01 (0.92 to 1.06)	>0.05	
Years in clinical practice (for each additional year in practice) (n = 88)	1.02 (0.97 to 1.06)	>0.05	
DASS-21			
Depression (n = $97$ )	1.12 (0.94 to 1.34)	>0.05	
Anxiety $(n = 98)$	1.23 (0.83 to 1.83)	>0.05	
Stress (n = 99)	1.13 (1.01 to 1.27)	0.032	
Manifestations of burnout (n = 101)			
Burned out versus not burned out	3.96 (1.38 to 11.36)	0.011	
Emotional exhaustion ( $n = 101$ )			
High score	3.21 (1.01 to 10.10)	0.042	
Moderate score	0.98 (0.27 to 3.60)	>0.05	
Low score	Reference	_	
Depersonalization (n = 101)			
High score	2.74 (0.82 to 9.30)	>0.05	
Moderate score	2.84 (0.57 to 14.08)	>0.05	
Low score	Reference	_	

DASS-21, Depression Anxiety Stress Scales-21.

CI, confidence interval.

presumptive or confirmed COVID-19 patients (63% vs 30%;  $\chi^2$  [1, n = 101] = 7.1; *P* = .008; Phi = -0.265). As Table 3 shows, findings of the mixed model analyses indicated that there was a significant positive association between treating any presumptive or confirmed COVID-19 patients and manifestations of burnout (odds ratio [OR] = 3.96; 95% CI, 1.38-11.36; *P* = .011). In addition, treating presumptive or confirmed COVID-19 patients was associated with high level of emotional exhaustion (OR = 3.21; 95% CI, 1.01-10.10; *P* < .05). These associations were not significant after controlling for the respondents' age, gender, and years in clinical practice.

On a scale of 0 to 10, where 10 represents extreme stress, the respondents reported a median personal stress level of 3 (mean = 3.3, SD = 1.9) before the COVID-19 pandemic, compared with a median personal stress level of 6 (mean = 5, SD = 2.3) during the pandemic. The respondents who personally treated any presumptive or confirmed COVID-19 patients reported a median personal stress level of 6 (mean = 5.3, SD = 2.3), compared with a median stress level of 3.5 (mean = 4.1, SD = 2.0) for those who did not.

Stress, as measured by DASS-21, was significantly associated with treating presumptive or confirmed COVID-19 patients (OR = 1.13; 95% CI, 1.01-1.27; P = .032). This association remained significant, even after adjusting for respondents' age, gender, and years in clinical practice (adjusted OR = 1.16; 95% CI, 1.01-1.33; P = .042).

# Discussion

To our knowledge, this is the first published study of its kind specifically assessing the effect of the COVID-19 pandemic on the emotional health of family physicians in Kansas. The findings of the study showed that half of the family physicians reported at least 1 manifestation of burnout. This finding correlates with previous, pre-COVID-19 research findings where 45.8% to 49.5% of practicing physicians in Kansas reported at least 1 symptom of burnout.<sup>6-8</sup> Our findings show that 80.5% of this sample of family physicians had treated a presumptive or confirmed COVID-19 patient since the first confirmed case was reported in the United States on January 20, 2020, confirming that family physicians are working on the front lines of the COVID-19 outbreak.<sup>36</sup> The physicians who personally treated any presumptive or confirmed COVID-19 patients, compared with those who did not, were 4 times more likely to report at least 1

manifestation of burnout and 3 times more likely to experience emotional exhaustion. The association between the higher level of stress and having personally treated any presumptive or confirmed COVID-19 patient remained significant, even when we adjusted for the physicians' age, gender, and years in clinical practice.

The authors compared self-reported stress levels before and during the pandemic. Responses indicated that personal levels of stress were higher after the onset of the COVID-19 pandemic, though a degree of physician stress was present at baseline. Respondents were more concerned about potential exposure of a family member to the virus than they were concerned about their own personal exposure. Interestingly, the level of concern about family exposure was higher in those who had not treated presumptive or confirmed COVID-19 patients. This difference may be attributed to a sense of anxiety in the setting of an unfamiliar disease and less experience with treatment protocols for these individuals.

The results of this study are consistent with previous studies, which have shown increased stress or other psychological symptoms in those caring directly for presumptive and confirmed COVID-19 patients.<sup>17,37,38</sup> An earlier study of health care workers in China showed an increase in psychological symptoms among those working on the front lines of the COVID-19 outbreak (eg, depression, anxiety, insomnia, and psychological distress).<sup>17</sup> A previous study of emergency medicine physicians in the United States similarly showed both increased stress levels at home and work as well as increased emotional exhaustion and burnout as a result of the COVID-19 pandemic.<sup>37</sup>

Findings from a nationwide survey have demonstrated higher levels of depression, anxiety, and tiredness, as well as self-perceived COVID-19 related stress, among health care workers compared with the general population.<sup>38</sup> A study by the Larry A. Green Center and the Primary Care Collaborative found that 63% of primary care physicians reported feelings of stress, and 80% reported at least 1 manifestation of professional burnout since the onset of the COVID-19 coronavirus pandemic.<sup>39,40</sup> Given the increased levels of burnout and other forms of emotional distress among family physicians during the pandemic, there should be improved recognition and development of resources to address the problem. Policy makers and health care organizations can help by recognizing the deleterious effects of emotional distress on physician well-being and ensure appropriate programs are in place to provide emotional, mental health, and social support for health care workers, especially those on the forefront of the pandemic.<sup>41</sup>

# **Study Limitations**

Our study has limitations. First, although similar to other studies of family physicians practicing in Kansas,<sup>42–44</sup> the 45.6% overall response rate limits the generalizability of the findings to active family physicians in Kansas. The cross-sectional nature and inability to establish a direct causal relationship between COVID-19 and respondent distress reduce the generalizability of the results. The instrument relied on self-reported data collected in the form of an online survey and may be influenced by recall and selection biases. The study population was limited to a specific geographic area, though for the purposes of this study, family physicians in Kansas were the target population. Given that organizational support and structure might have an impact on physicians' feelings of stress or anxiety, lack of assessment of the participants' practice setting limits the study findings. Finally, the timing of the study provides data only from the period of data collection, whereas long-term outcomes will likely be affected as the pandemic continues.

Future studies are needed to understand the enduring and long-term effects of the COVID-19 pandemic on physicians as well as other frontline health care workers. A previous study showed an additional increase in stress, anxiety, and depression symptoms among those who had cared for patients with severe acute respiratory syndrome (SARS) 1 year after the peak of the outbreak.<sup>14</sup> It is reasonable to assume that the extended duration of the current pandemic will continue to be associated with physician burnout, stress, and other psychological symptoms. In addition, future studies that are qualitative in nature would provide a deeper and more nuanced understanding of how COVID-19 impacts primary care clinicians.

# Conclusions

This study provides a baseline from which to continue monitoring of emotional distress, to determine wellness interventions, and to guide development of appropriate physician support systems. These data can help drive wellness and mental health support initiatives at local, state, and national levels to help reduce the negative impact of the COVID-19 pandemic on not only physicians but the health care system and workforce overall. It is prudent to address these issues both for the wellbeing of individuals as well as the potential effects on patient care and quality outcomes. This may have an even greater impact in areas of physician shortages, such as the rural communities across Kansas and similar regions globally.

#### To see this article online, please go to: http://jabfm.org/content/ 34/3/522.full.

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# Appendix. How Family Physicians Are Responding to COVID-19 Questionnaire

- Have you cared for presumptive or confirmed COVID-19 patients in your practice? Yes No
- 2. How many presumptive or confirmed COVID-19 patients have you treated? \_\_\_\_\_
- 3. Where have you treated COVID-19 patients? (*Please check all that apply*)
  - a. In office
  - b. At the hospital
  - c. Via telehealth
  - d. At the ER
  - e. Home visits
- 4. For each of the following statements, please check the box that most accurately reflects your response:
  - a. Î feel burned out from my work since the COVID-19 outbreak.
  - b. I've become more calloused towards people since the COVID-19 outbreak.
  - The rating scale is as follows:
    - 0. Never
    - 1. A few times a year
    - 2. Once a month or less
    - 3. A few times a month
    - 4. Once a week
    - 5. A few times a week
    - 6. Every day
- 5. How are your patient volumes now, compared to before the pandemic?
  - a. I'm seeing more patients
  - b. I'm see fewer patients
  - c. I'm seeing about the same number of patients
- 6. Are you concerned that some of your patients may be foregoing routine or acute medical care because they are afraid of exposure to COVID-19 in an office or other clinical setting? Yes\_\_\_No
- 7. What is your level of personal stress as a result of the COVID-19 pandemic?

0 (No stress) 1 2 3 4 5 6 7 8 9 10 (Extreme stress)

8. What was your level of stress prior to the COVID-19 pandemic?0 (No stress) 1 2 3 4 5 6 7 8 9 10 (Extreme

stress)

 What is your level of concern about personally being exposed to COVID-19 because of your work?
O (Net concerned) 1.2.2.4.5 (7.8.0.10 (Nerror

0 (Not concerned) 1 2 3 4 5 6 7 8 9 10 (Very concerned)

10. What is your level of concern about exposing family members to COVID-19 because of your work?

0 (Not concerned) 1 2 3 4 5 6 7 8 9 10 (Very concerned)

- 11. For each statement below, please indicate how you have been feeling during the past week:
  - a. I found it hard to wind down
  - b. I tended to over-react to situations
  - c. I felt that I was using a lot of nervous energy
  - d. I found myself getting agitated
  - e. I found it difficult to relax
  - f. I was intolerant of anything that kept me from getting on with what I was doing
  - g. I felt that I was rather touchy
  - h. I was aware of dryness of my mouth
  - i. I experienced breathing difficulty (eg, excessively rapid breathing, breathlessness in the absence of physical exertion)
  - j. I experienced trembling (eg, in the hands)
  - k. I was worried about situations in which I might panic and make a fool of myself
  - l. I felt I was close to panic
  - m. I was aware of the action of my heart in the absence of physical exertion (eg, sense of heart rate increase, heart missing a beat)
  - n. I felt scared without any good reason
  - o. I couldn't seem to experience any positive feeling at all
  - p. I found it difficult to work up the initiative to do things
  - q. I felt that I had nothing to look forward to
  - r. I felt downhearted and blue
  - s. I was unable to become enthusiastic about anything
  - t. I felt I wasn't worth much as a person
  - u. I felt that life was meaningless
  - The rating scale is as follows:
    - 0. Did not apply to me at all
    - 1. Applied to me to some degree, or some of the time
    - 2. Applied to me to a considerable degree or a good part of time
  - 3. Applied to me very much or most of the time
- 12. What is your gender?
  - $\Box$  Male
    - □ Female
    - $\Box$  Prefer to not answer
    - $\Box$  Other (*please specify*)
- 13. What year were you born?
- 14. In which Kansas county do you primarily practice?
- 15. How many years have you been in practice since residency?

Thanks for participating!